

EAGE

— 22nd —
EUROPEAN MEETING OF
ENVIRONMENTAL
AND ENGINEERING
GEOPHYSICS

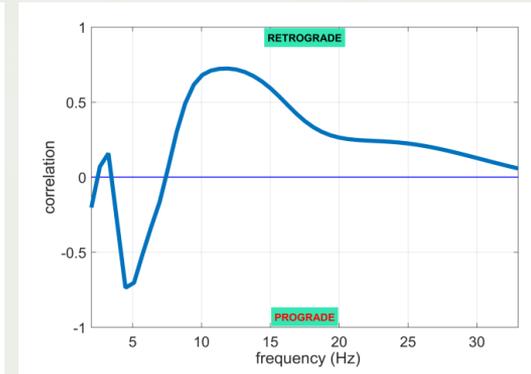
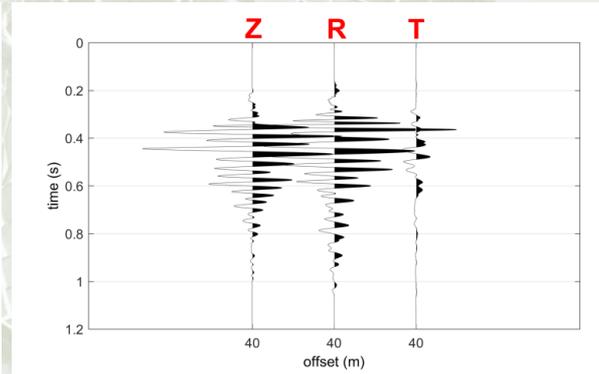
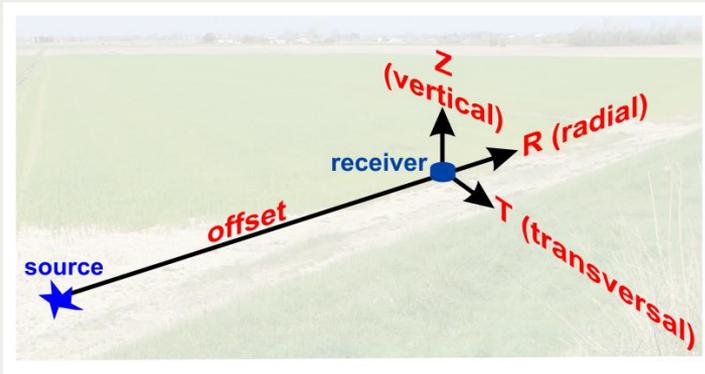
— SECOND —
APPLIED SHALLOW
MARINE
GEOPHYSICS
CONFERENCE

— FIRST —
CONFERENCE ON
GEOPHYSICS
FOR MINERAL
EXPLORATION
AND MINING

NEAR SURFACE GEOSCIENCE



Four Geophones for Seven Possible Objective Functions: Active and Passive Seismics in Tricky Areas



Giancarlo Dal Moro

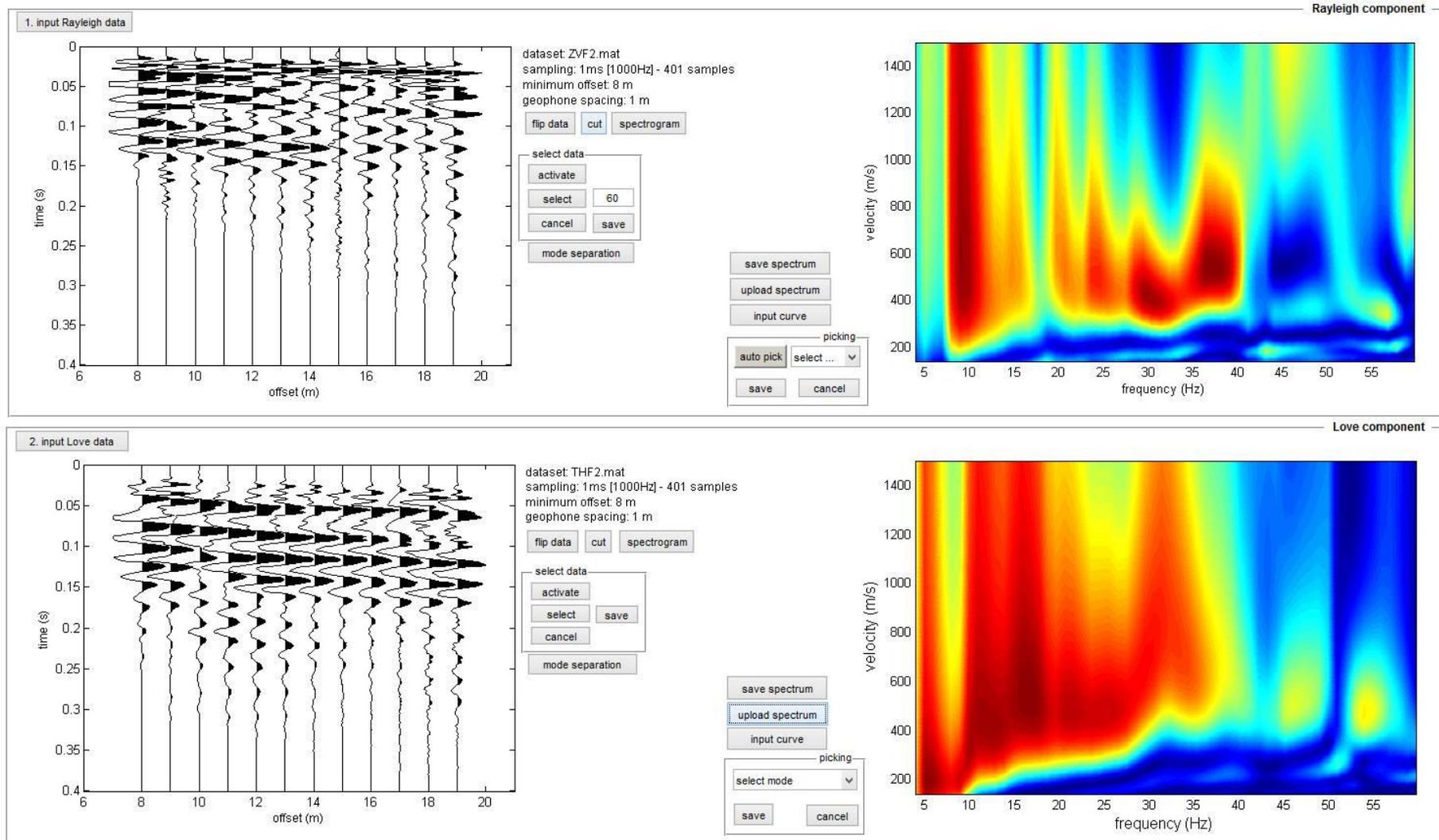
Department of Seismotectonics
Institute of Rock Structure and Mechanics
Academy of Sciences of the Czech Republic
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dalmoro@irms.cas.cz; gdm@winmasw.com



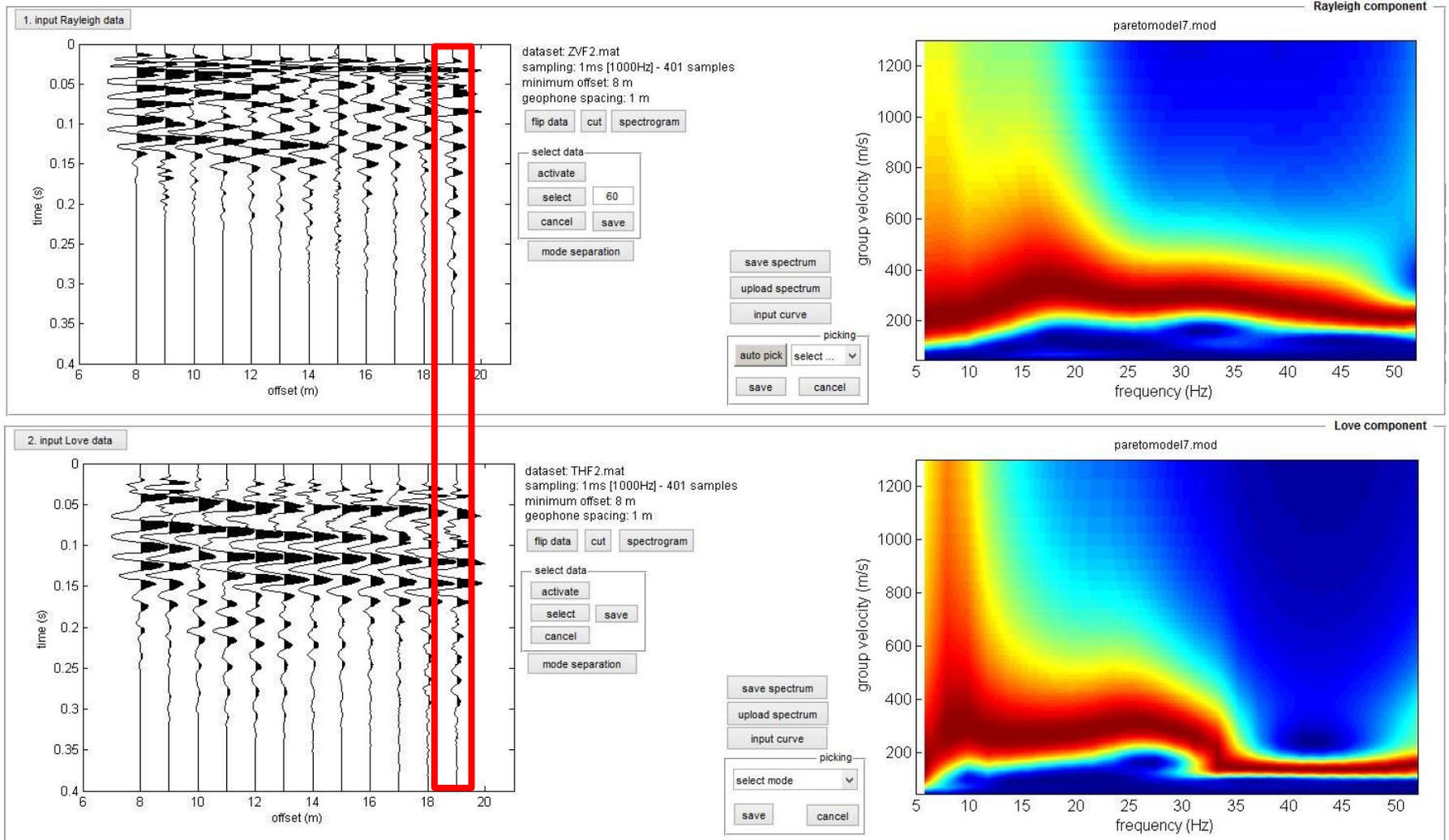
Questioning the multi-channel dogma:

is the analysis of *phase* velocity (analyzed via multi-channel data) better with respect to the single-channel data useful for the analysis of *group* velocities?



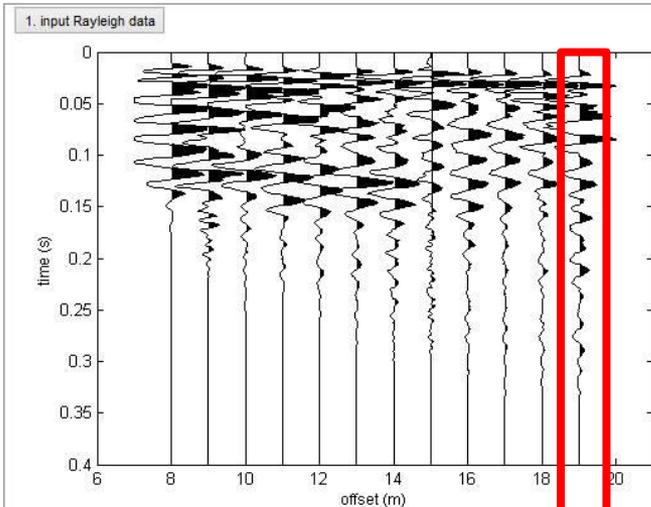
A recent dataset (ZVF + THF)

the multi-channel dogma: here the *group* velocity spectra (Z and T components) for the most-distant trace



A recent dataset (ZVF + THF)

the multi-channel dogma: here the FVS analysis of the *group* velocity spectra (Z and T components)



dataset: ZVF2.mat
sampling: 1 ms [1000Hz] - 401 samples
minimum offset: 8 m
geophone spacing: 1 m

flip data cut spectrogram

select data

activate

select 60

cancel save

mode separation

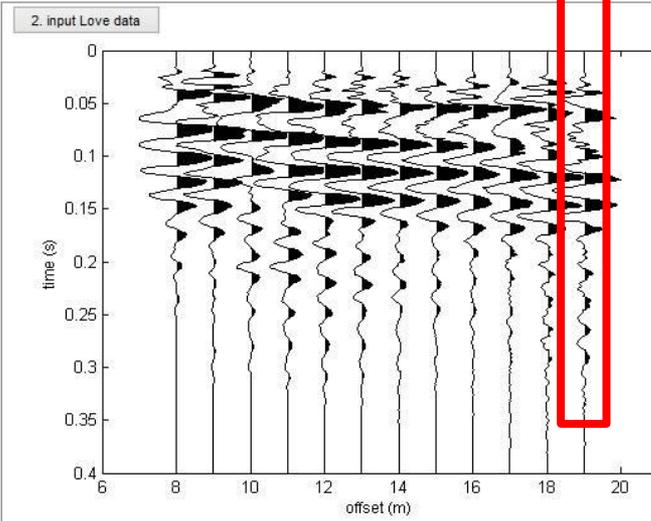
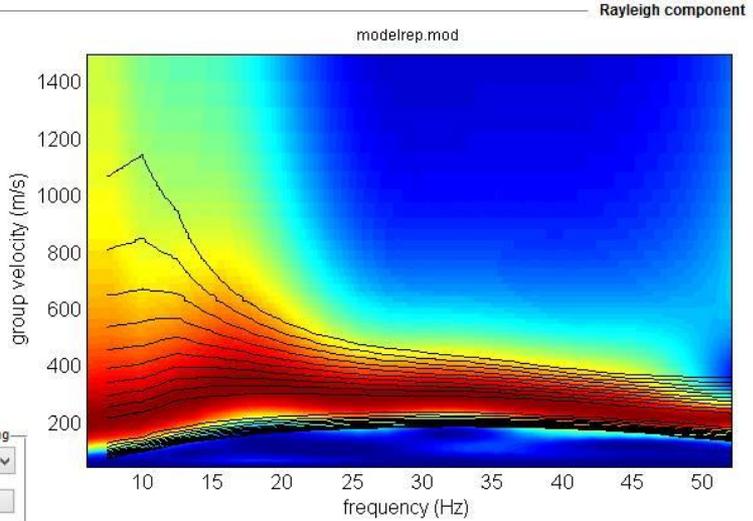
save spectrum

upload spectrum

input curve

auto pick select ...

save cancel



dataset: THF2.mat
sampling: 1 ms [1000Hz] - 401 samples
minimum offset: 8 m
geophone spacing: 1 m

flip data cut spectrogram

select data

activate

select save

cancel

mode separation

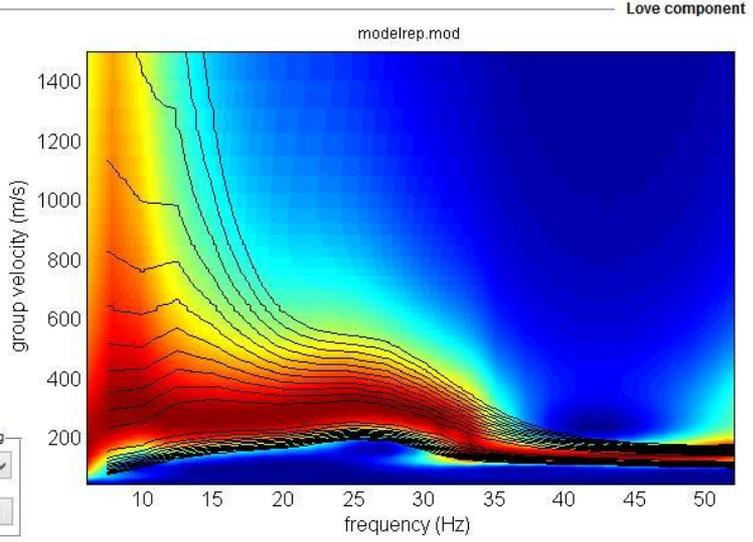
save spectrum

upload spectrum

input curve

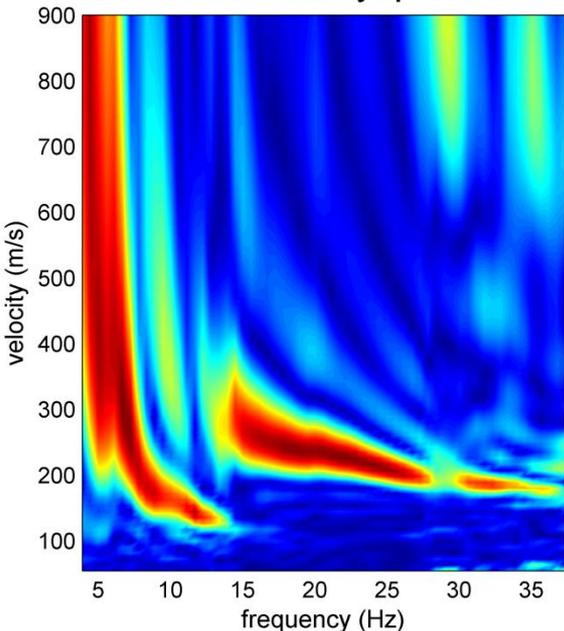
select mode

save cancel

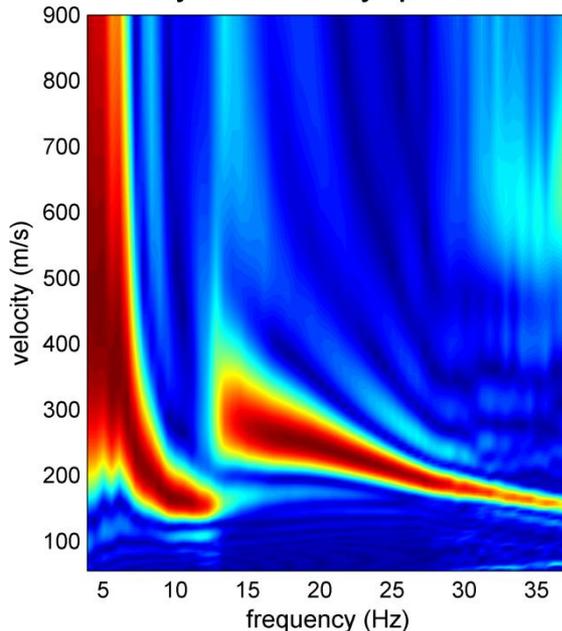


The FVS (*Full Velocity Spectrum*) approach in short

Observed Velocity Spectrum

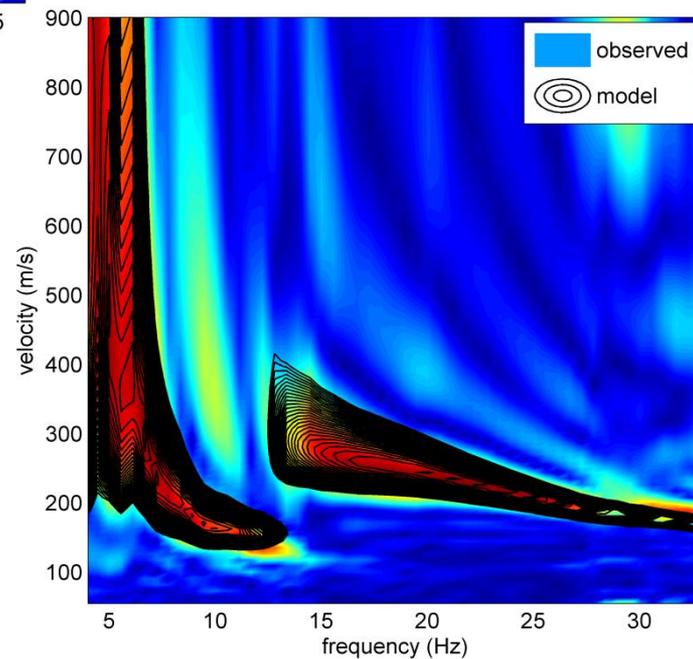


Synthetic Velocity Spectrum



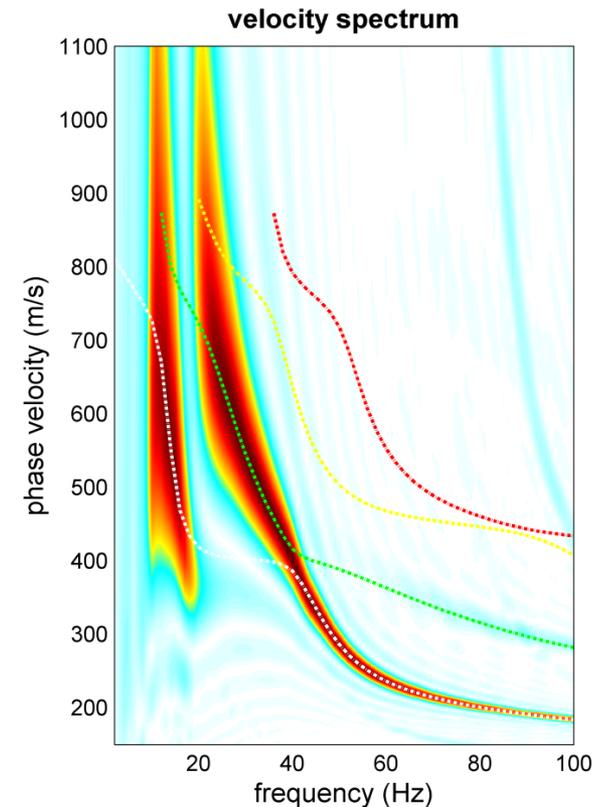
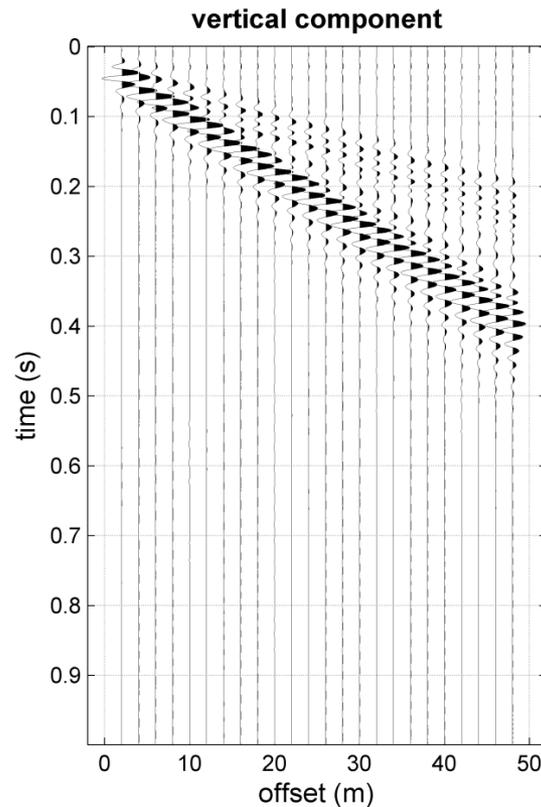
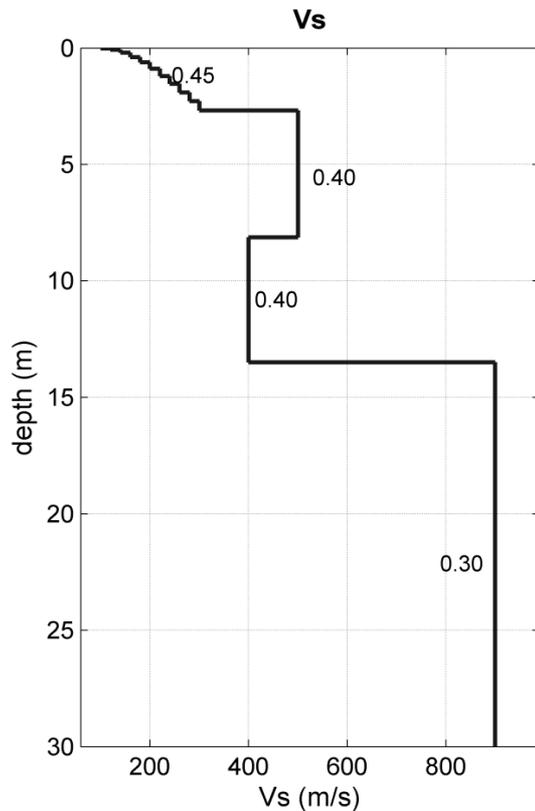
“comparing” the field and the synthetic velocity spectra
[no interpretation in terms of dispersion curve(s)]

“compact” FVS representation: background colors refer to the field data, overlaying black contour lines to the synthetics



Retrieving the dispersive properties is something, but the way you understand/treat/process them something else:

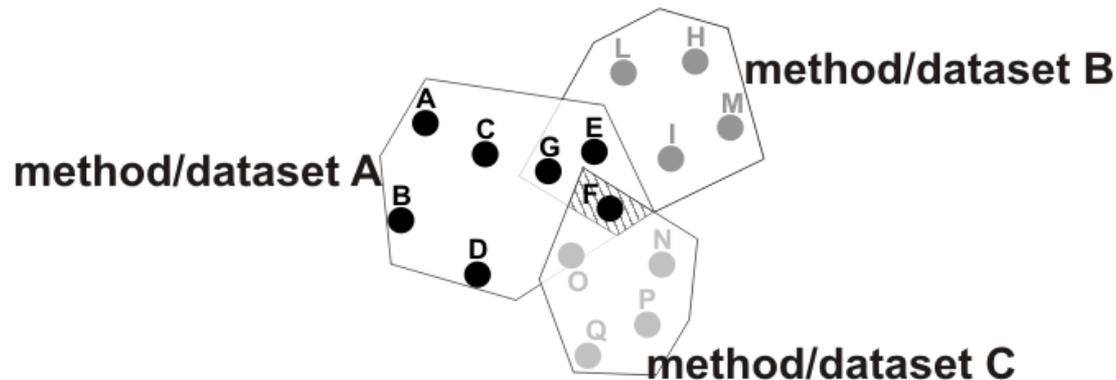
- *Modal* dispersion curves
- *Effective* dispersion curve
- *FVS (Full Velocity Spectrum)*



The continuity of a signal does not mean that that signal pertains to a single mode.

Tricky areas: the two (conflicting?) points

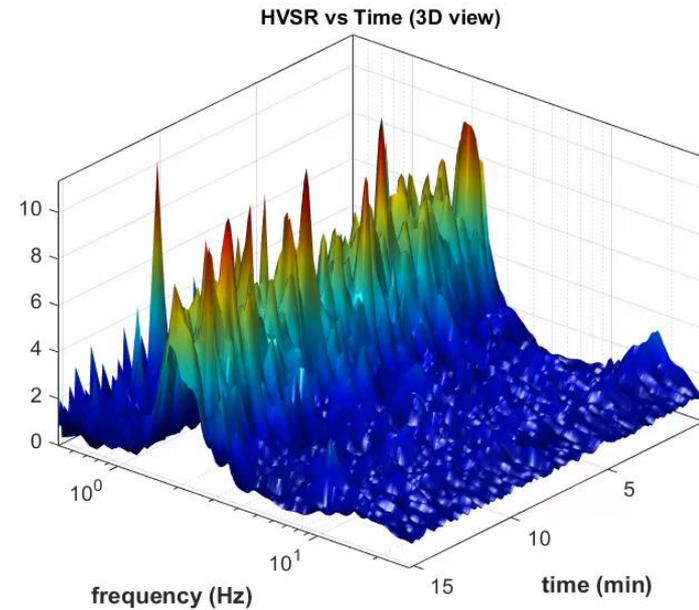
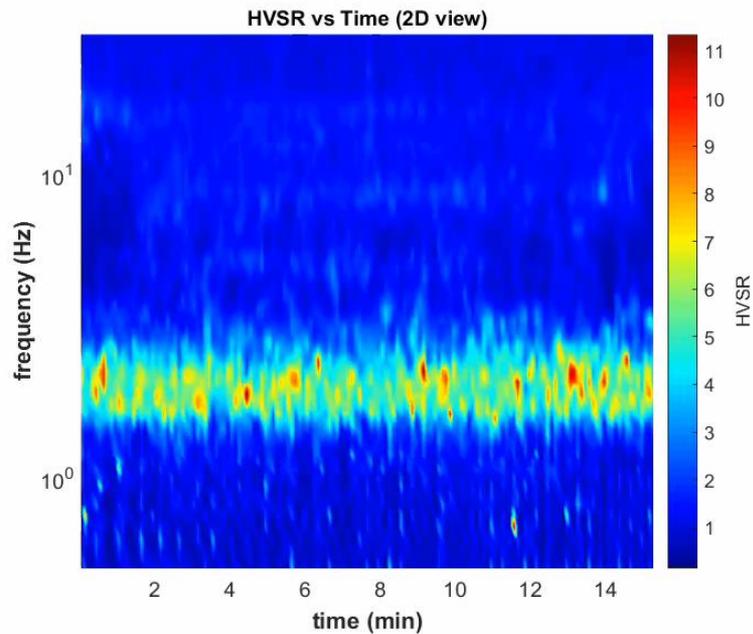
1. Logistical problems that prevent from using certain techniques
2. Need for various components/objective functions (to overcome ambiguities and non-uniqueness): joint analyses



The Three Considered *Methodologies* (for 7 “objects”)

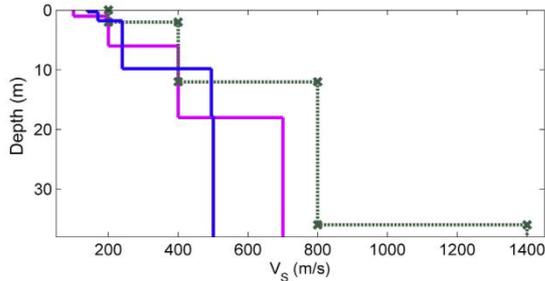
1. HVSR (passive): *Horizontal-to-Vertical Spectral Ratio*
[objective functions: 1]
2. HS (active): *HoliSurface*
[objective functions: 5]
3. MAAM (passive): *Miniature Array Analysis of Microtremors*
[objective functions: 1]

1. HVSR (passive): *Horizontal-to-Vertical Spectral Ratio* [objective functions: 1]



HVSR: some problems

[Dal Moro, 2014]



Non-uniqueness of the solution

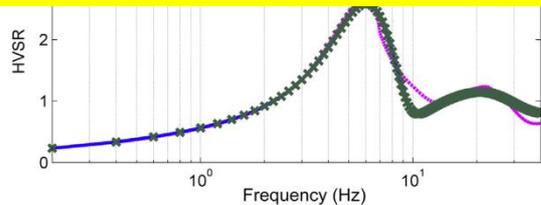


Figure 4.8 Nonuniqueness of the H/V spectral ratio (HVSR) in terms of reconstruction of the V_S profile



Temporal variations of the H/V

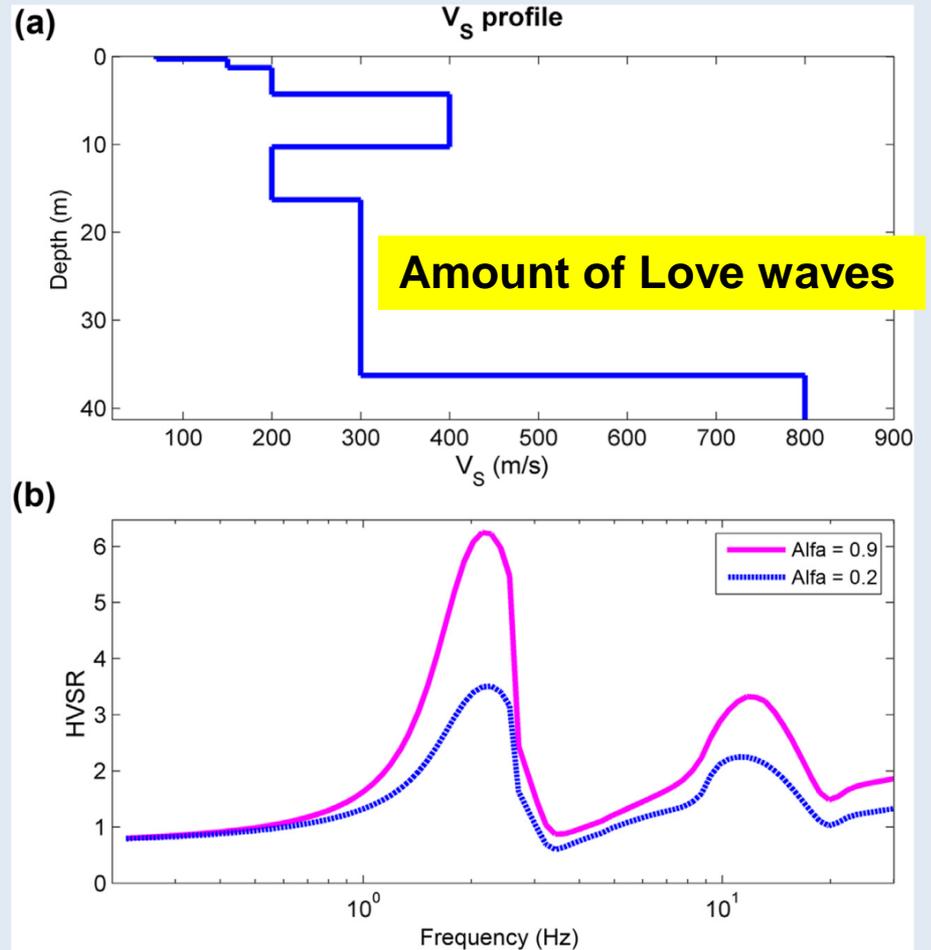
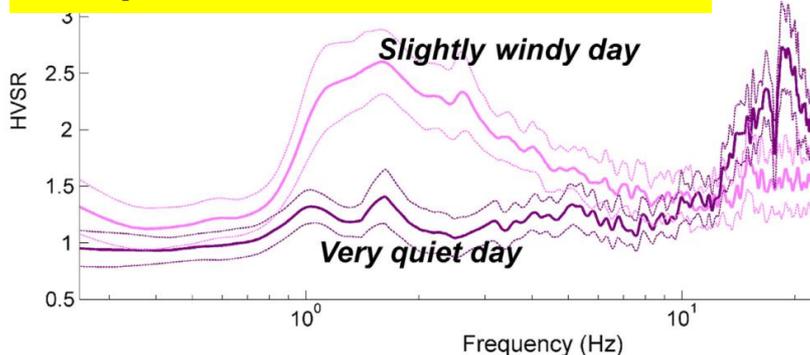
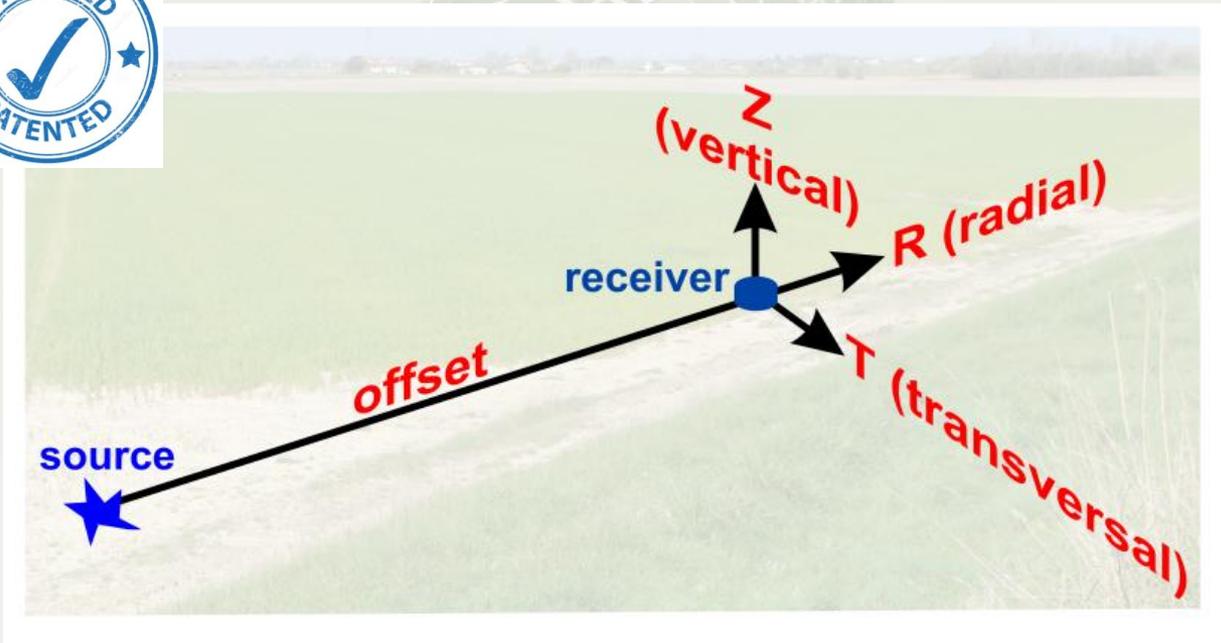


Figure 4.14 Effects of Love waves on the HVSR: (a) considered V_S profile; (b) the HVSR curves obtained while considering a different amount of Love waves (the α factor) in the microtremor field. In both cases Q_S values are fixed according to a simple rule of thumb ($Q_S = V_S/8$).

Two consequences are straightforward:

1. The amount of Love waves (synthetically expressed by the α factor) should be considered as a further variable in the inversion process aimed at determining the V_S profile (experience teaches that its value typically ranges from 0.3 to 0.6).
2. The HVSR curve alone is insufficient to properly and precisely define a V_S profile even when geological/stratigraphical information are available and, consequently, the only viable approach is represented by the joint inversion with further geophysical data (typically the dispersion curves of Rayleigh or Love waves).

2. HS (active): *HoliSurface* [objective functions: 5]



Active seismics (HS approach): the *components* acquired in case a single 3C geophone is used to record the signal(s) produced by both a Vertical and Horizontal Force (VF and HF).

2. HS (active): *HoliSurface* [objective functions: 5]



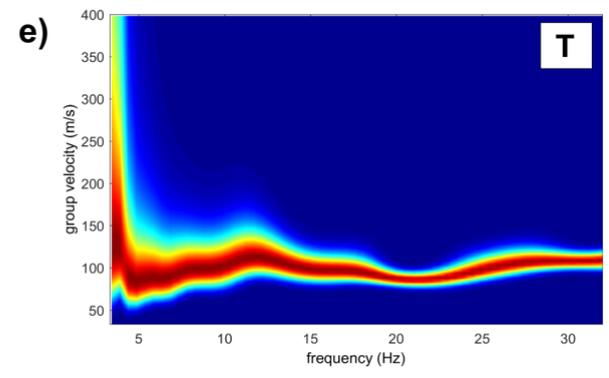
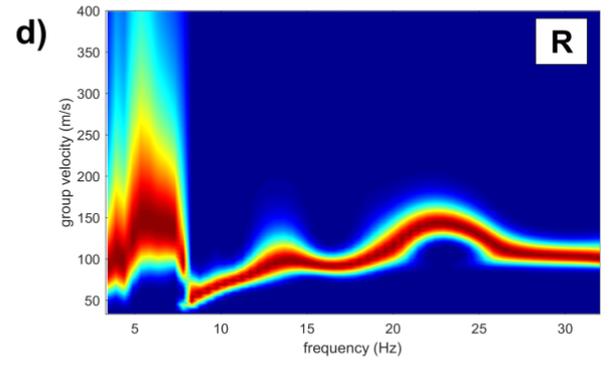
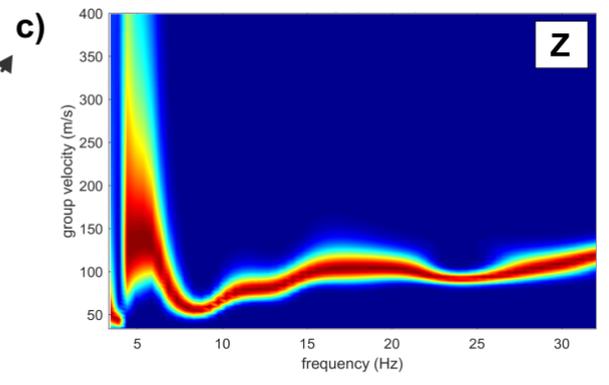
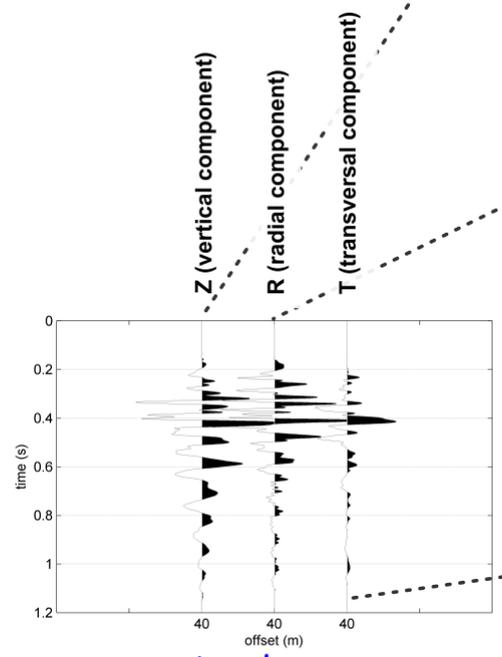
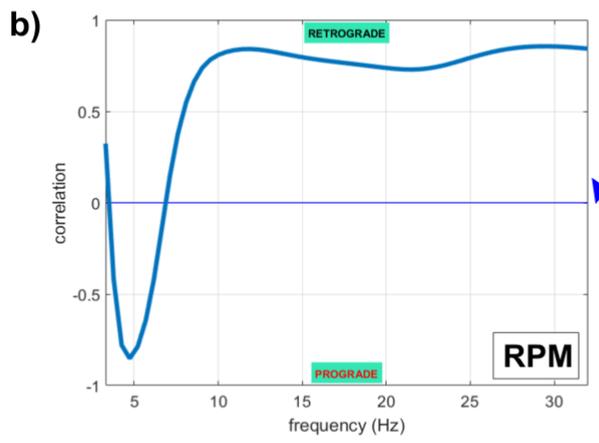
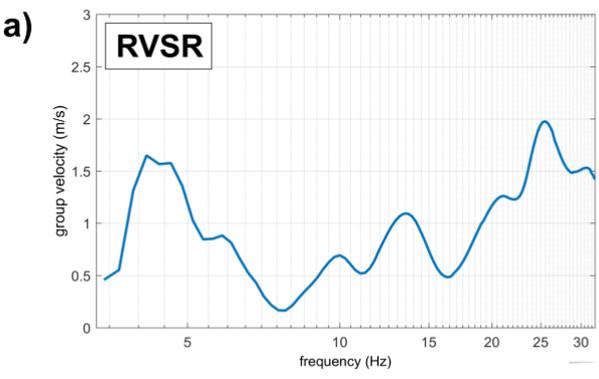
One
source

Just one
receiver
(a 3-component
geophone)



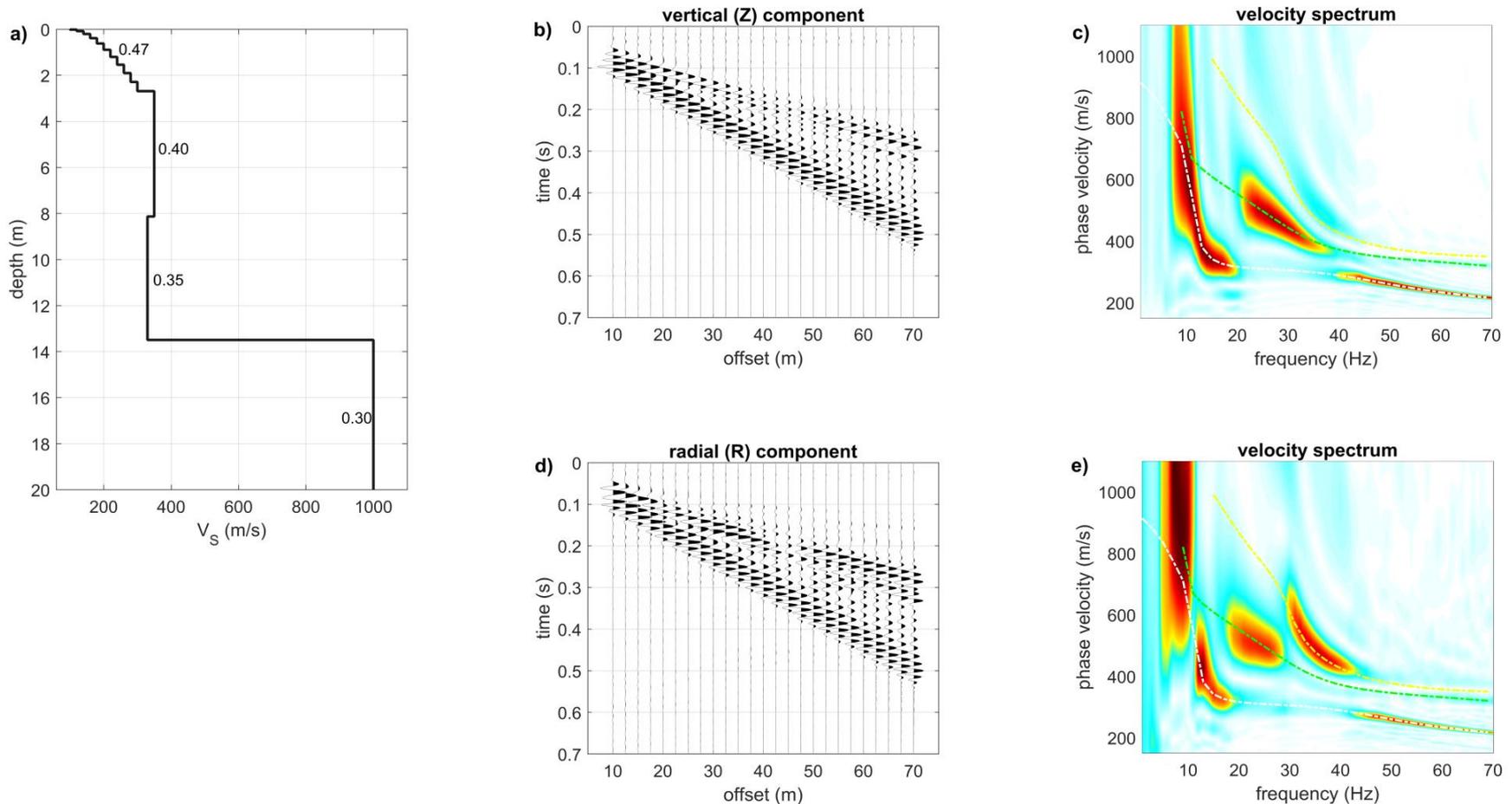
2. HS (active): *HoliSurface* [objective functions: 5]

Holistic analysis of surface-wave propagation



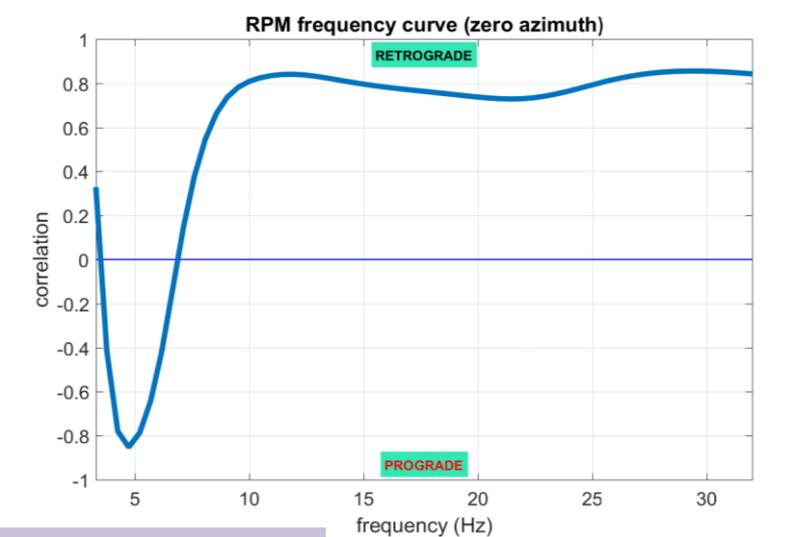
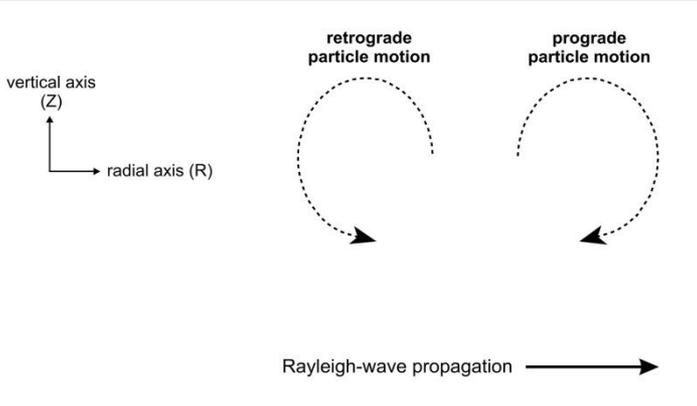
2. HS (active): *HoliSurface* [objective functions: 5]

Rayleigh waves: the radial (R) and vertical (Z) components are different



2. HS (active): *HoliSurface* [objective functions: 5]

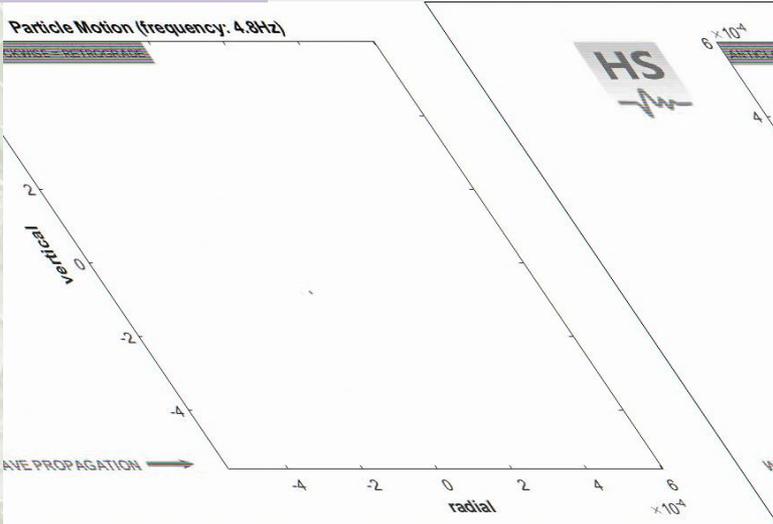
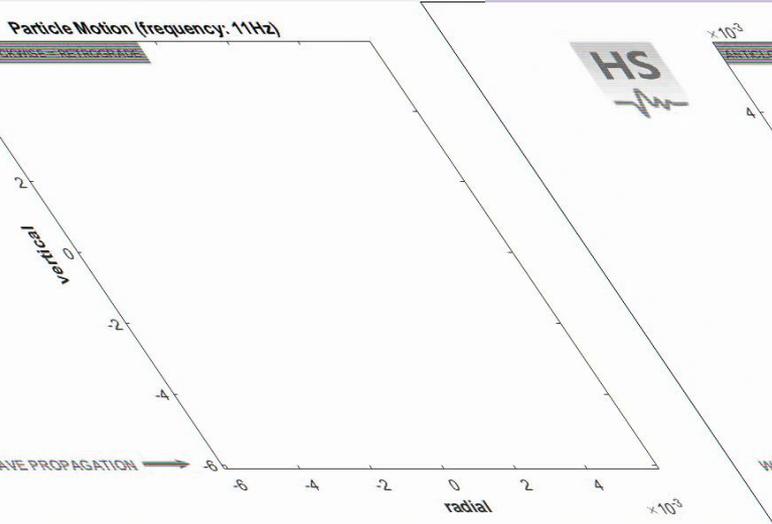
RPM frequency curve (Dal Moro et al., BSSA - 2016)



11Hz (retrograde)

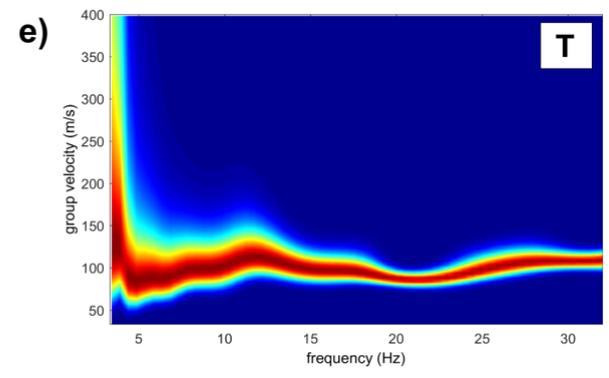
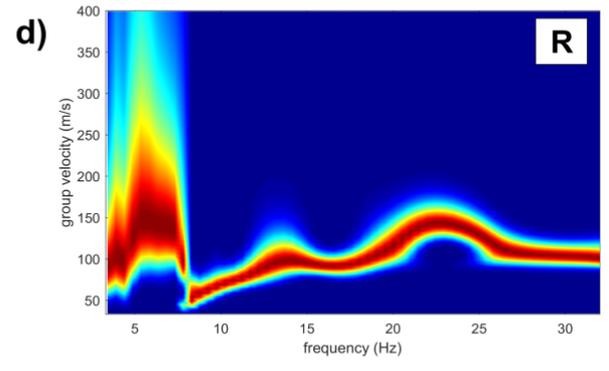
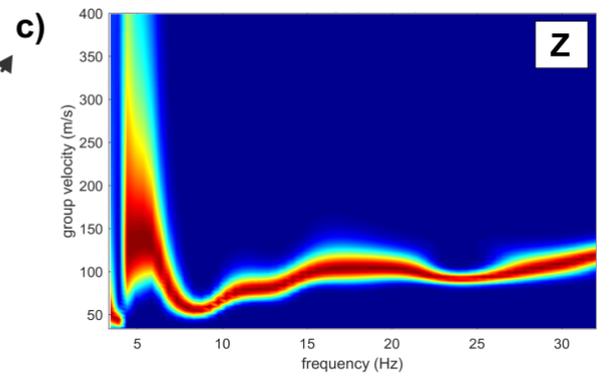
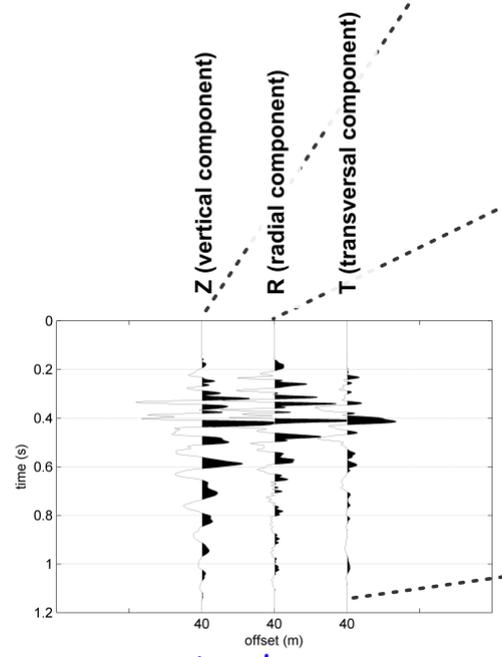
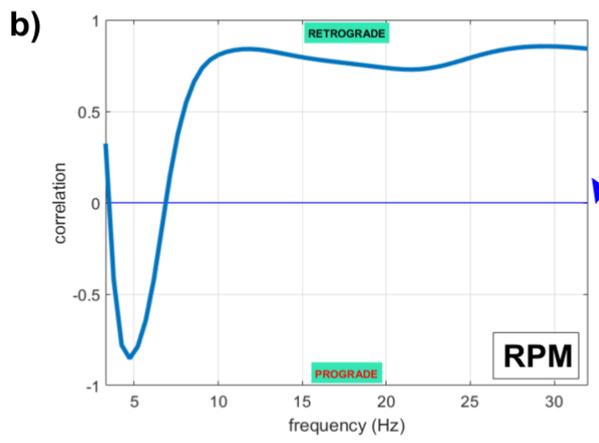
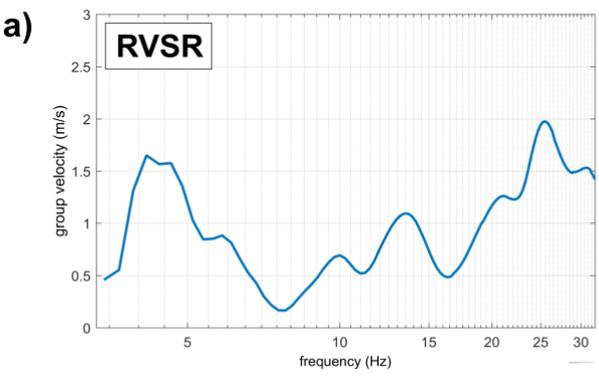
video animations (field dataset)
[available on request or see the BSSA paper]

4.8Hz (prograde)

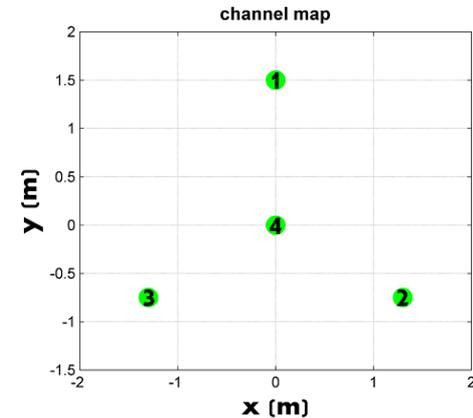
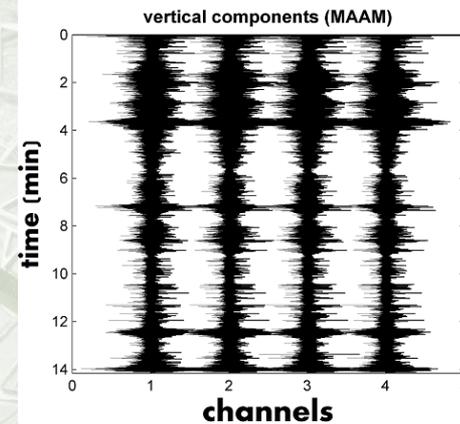
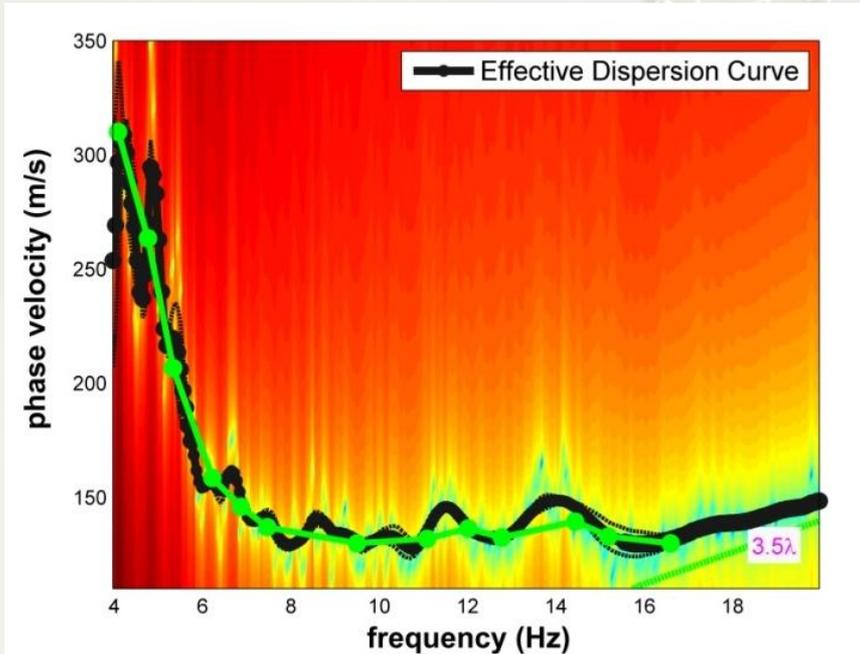
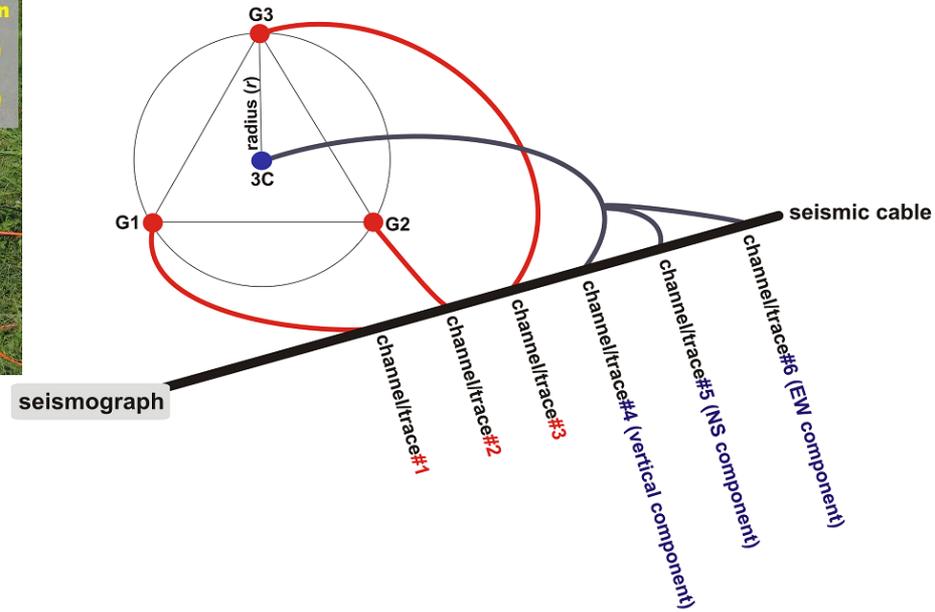
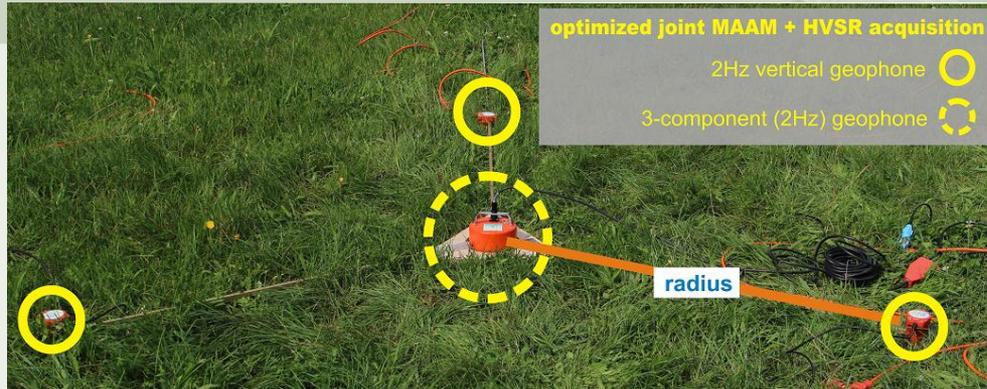


2. HS (active): *HoliSurface* [objective functions: 5]

Holistic analysis of surface-wave propagation



3. MAAM (passive): *Miniature Array Analysis of Microtremors* [objective functions: 1]



Noise and Joint Analysis: two quick notes

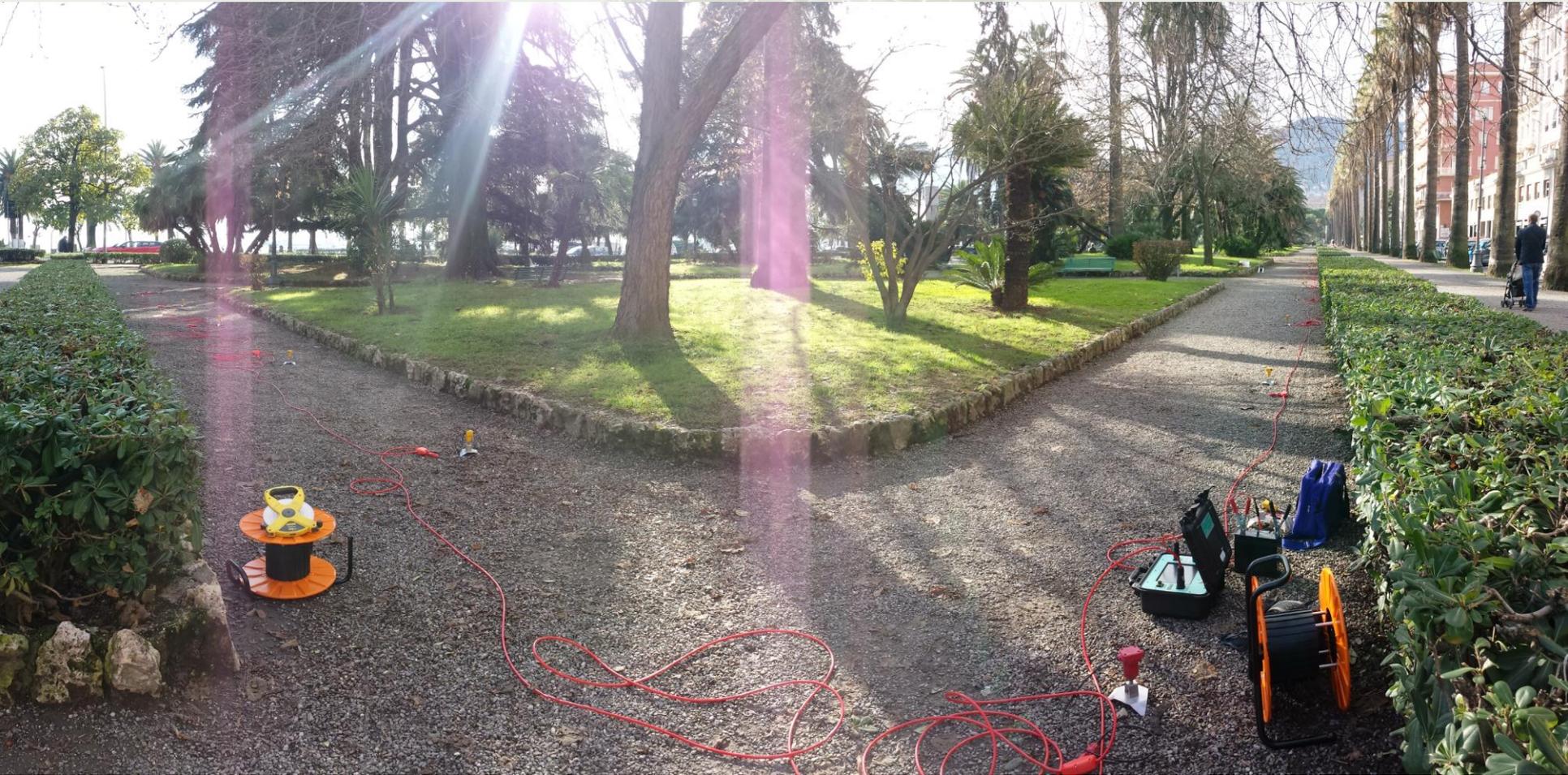
1. What is *noise*?

***Noise* is everything that is in our data (and that influences your analyses) while we would like not be there.**

Does the expression “ambient noise” make thus really sense?

2. Joint inversion is necessarily a *compromise*.

Case study#1



The acquisition parameters

sampling rate	4 ms (Nyquist frequency 125 Hz)
acquisition length	30 min
radius	2 + 5 m
sensors	four vertical 4.5Hz geophones

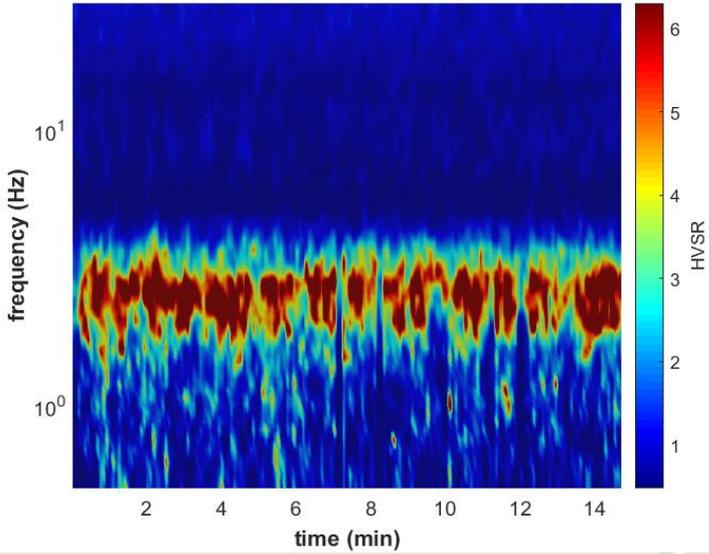
MAAM acquisition parameters

sampling rate	1 ms (1000 Hz)
acquisition length	1 s
offset (m)	40
sensor	one 3-component 2Hz geophone
stack	4

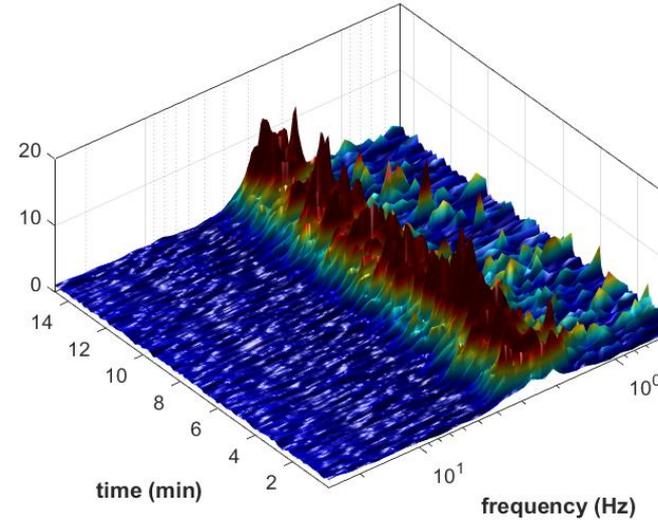
HS acquisition parameters

HVSR data

HVSR vs Time (2D view)

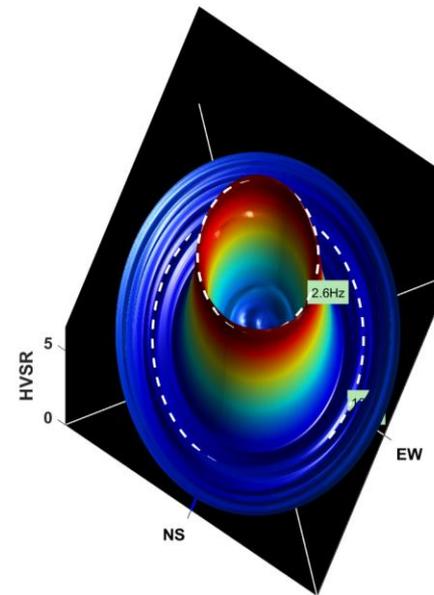
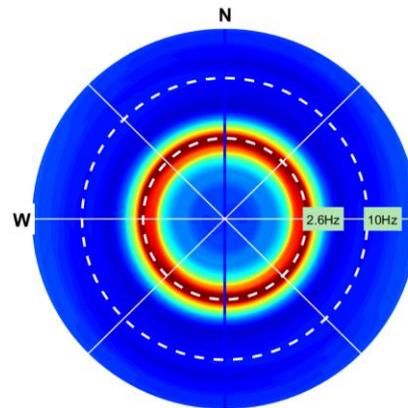
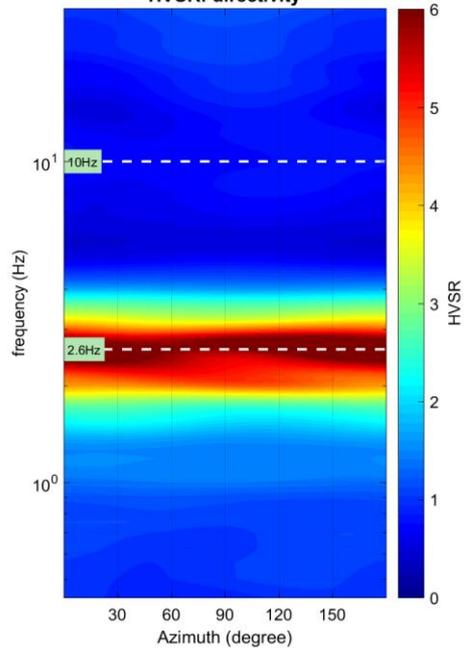


HVSR vs Time (3D view)



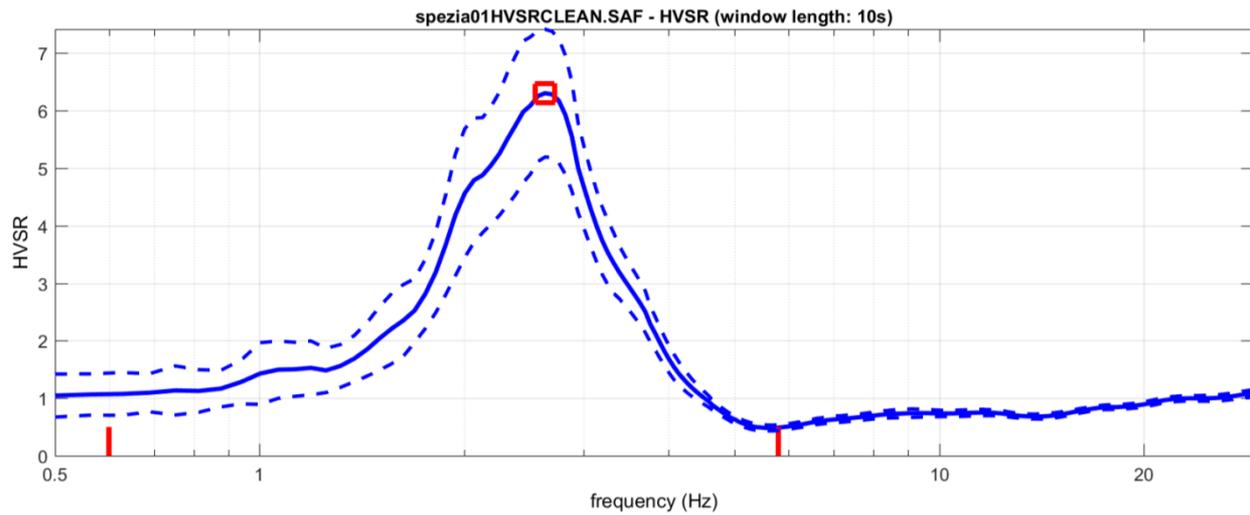
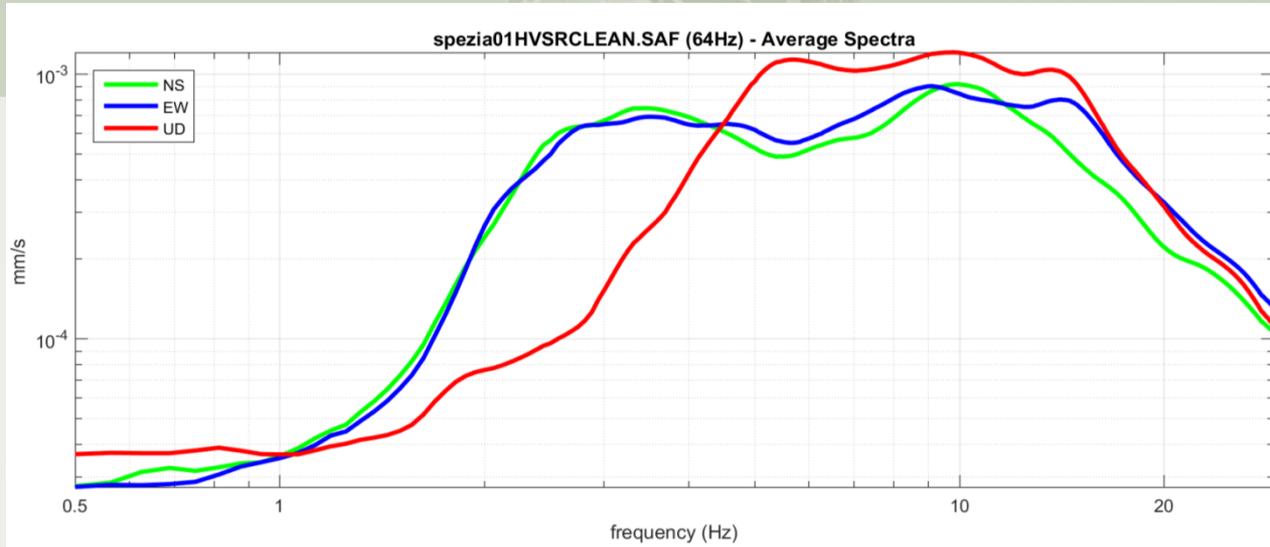
stable

HVSR: directivity

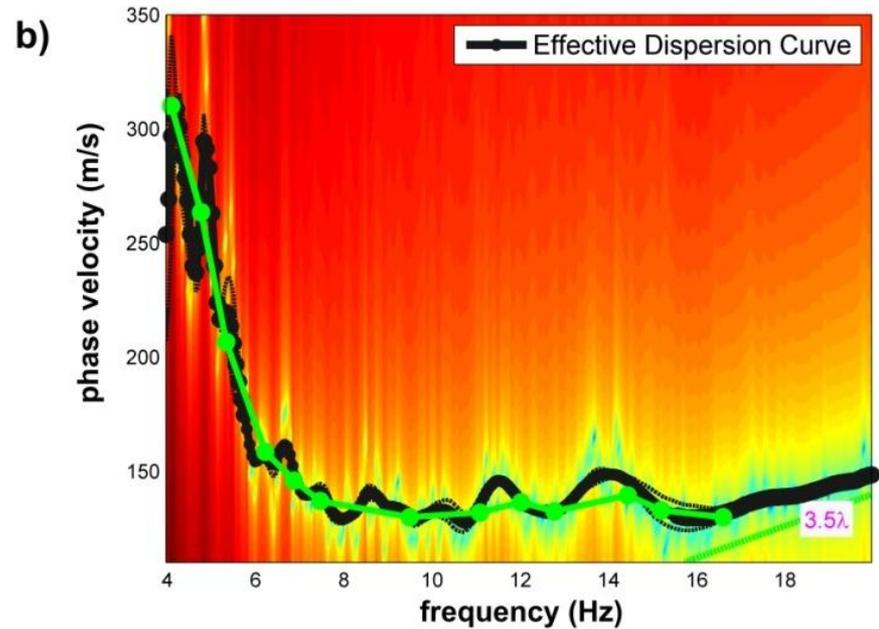
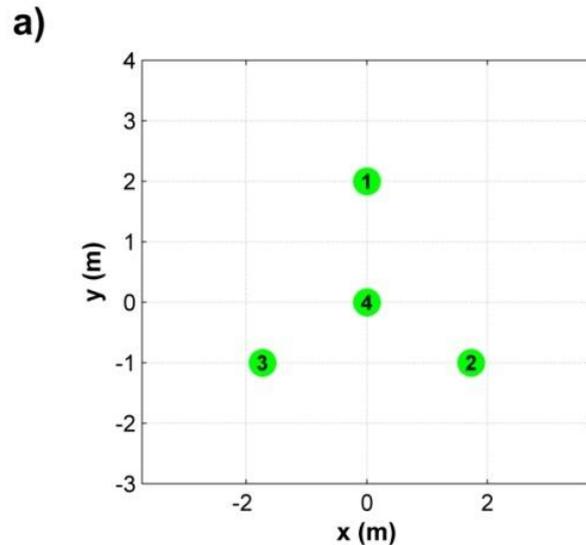


no directivity

HVSR



MAAM



MAAM (passive seismics): a) acquisition setting (in this case the four vertical-component geophones are along a 2m-radius circle); b) Rayleigh-wave effective (Tokimatsu et al., 1992) dispersion curve (vertical component) determined while considered the data acquired for the present case study.

Validation: comparing MAAM and ESAC

x (m):

y (m):

channels to remove:

dataset: ESAC-clean.mat
sampling: 4 ms

velocity spectrum: min freq. max freq.
min vel. max vel.

FK parameters: wavenumbers
 window length (s)

ESAC parameters: window length (s)

spectral smoothing hold on

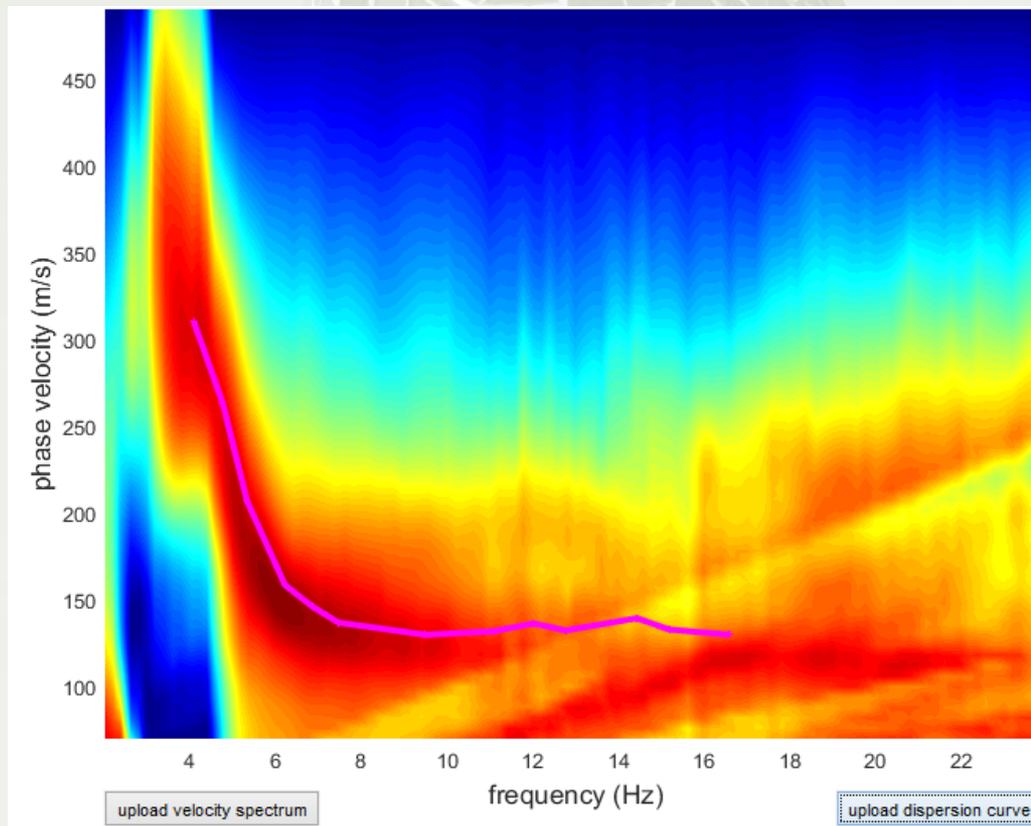
channel map
Number of considered channels: 18

resample to 6ms (166.666Hz)

verbose
 f-k analysis

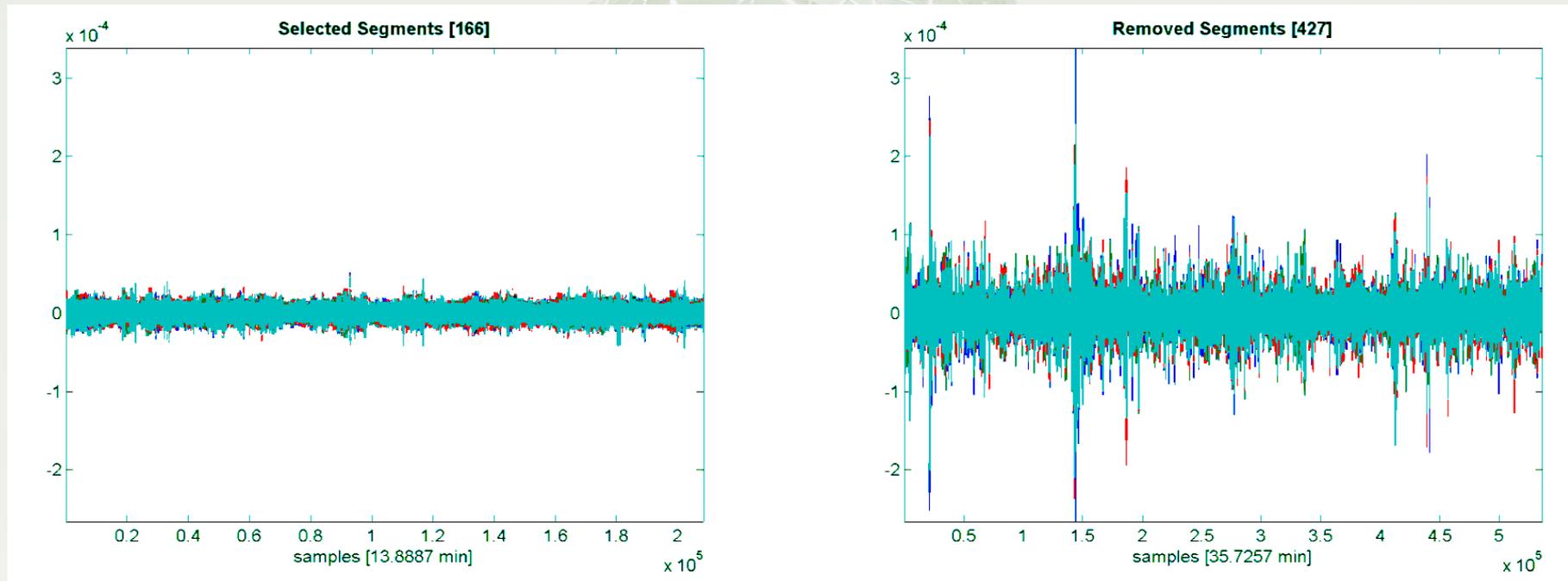
Validation: comparing MAAM and ESAC

In the background the ESAC velocity spectrum and, overlaying, the MAAM (effective) dispersion curve.



Critical points in the MAAM analysis:

- Acquisition: necessary a very low amount of “electronic” noise
- Choosing the maximum amplitude of the considered segments



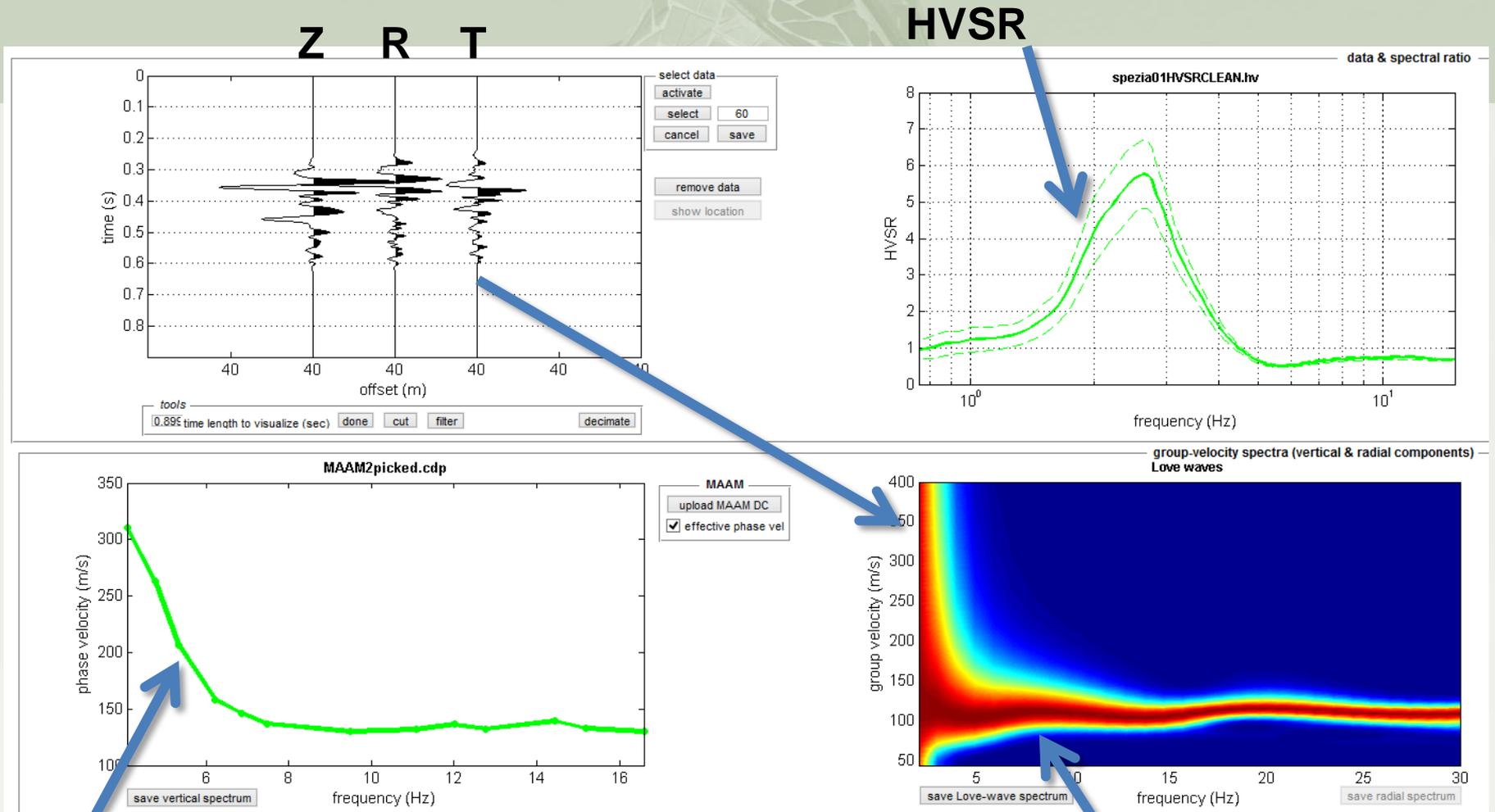
Joint (Multi-Objective) Analysis:

HVSR: alfa (α) parameters as variable

Active data (Rayleigh- and Love-wave group velocity spectra): FVS approach

Effective dispersion from MAAM: effective Z-component (Tokimatsu et al., 1992)

A joint analysis: the DATA

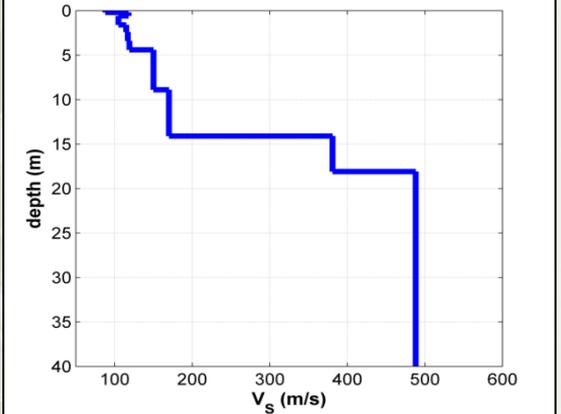
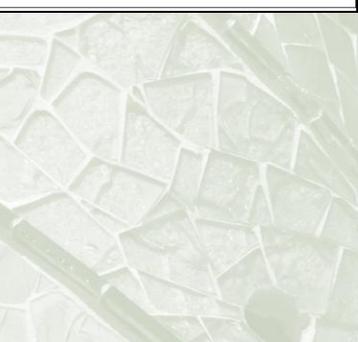
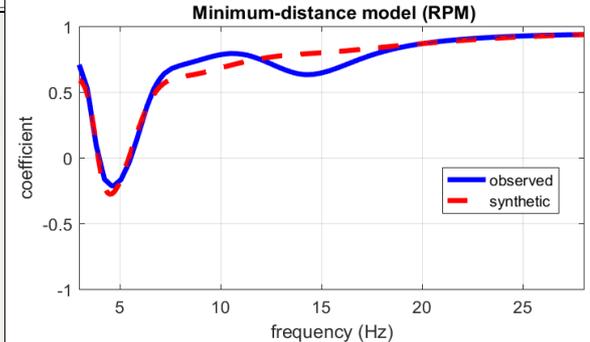
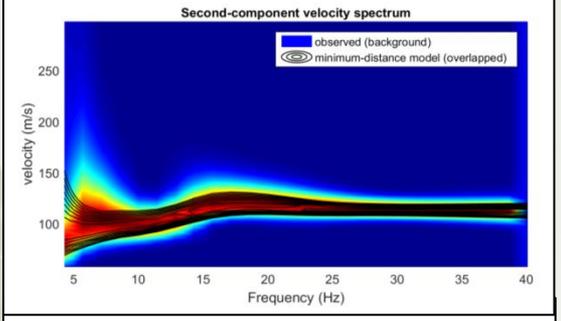
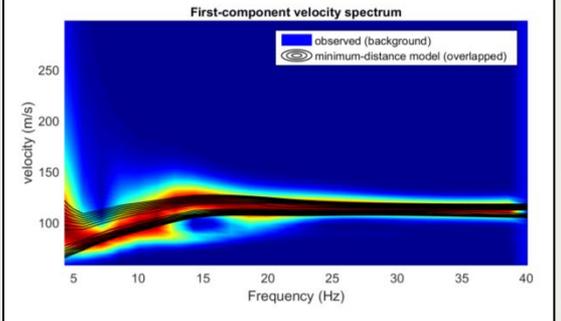
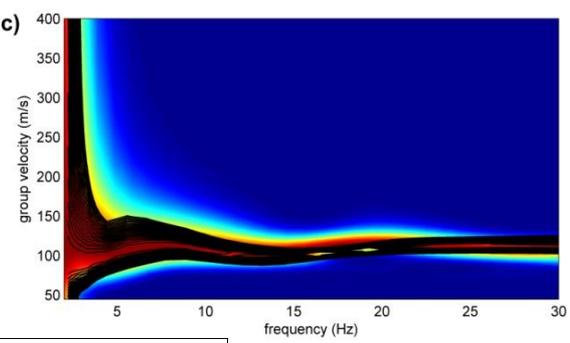
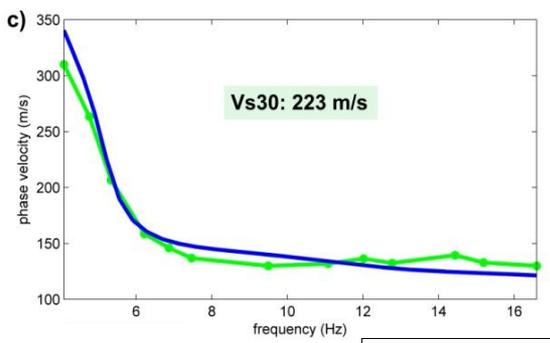
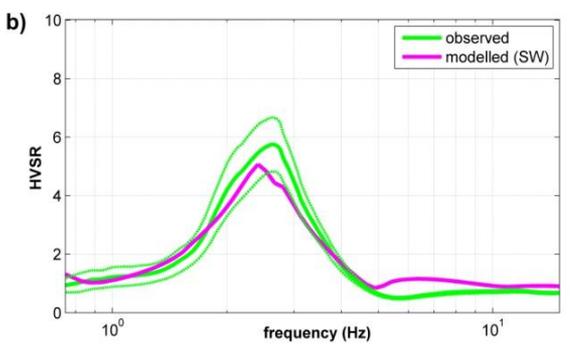
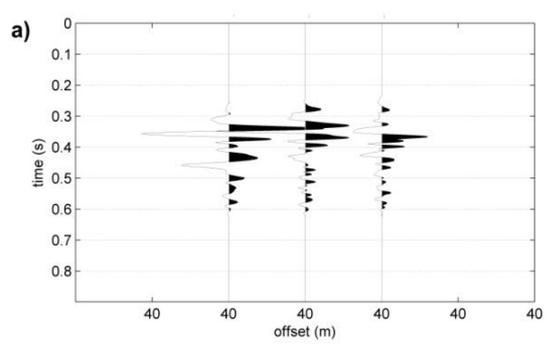


Rayleigh-wave effective dispersion curve (Z component) from MAAM

THF – Love waves (group velocity spectrum)

A joint analysis: the results

Joint analysis of the five here-considered components: a) acquired active traces (vertical, radial and transversal components); b) field and synthetic HVSR curves; c) field (from MAAM) and synthetic Rayleigh-wave (vertical component) effective dispersion curves; d) field (background colors) and synthetic (overlain black contour lines) Love-wave group velocity spectra from the active acquisition (FVS analysis). Also shown the ZVF and RVF velocity spectra.



Case study#2 (a bit dirtier data)

Location

— HS line (S=source; R=receiver)

▲ MAAM (5m radius) + HVSR

100 m



Location



©2016 Google

Fai clic per passare da Street View alla visualizzazione del livello suolo e viceversa

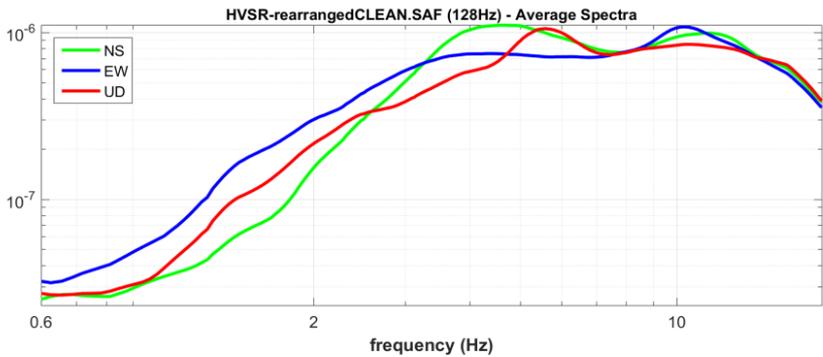
Esci da Street View

© 2016 Google

Google earth

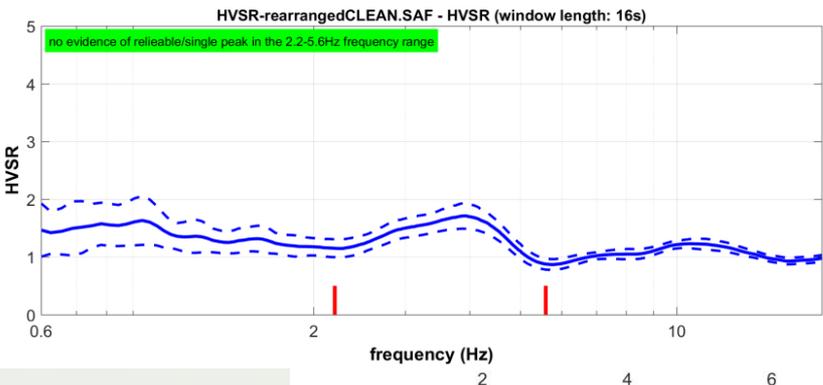
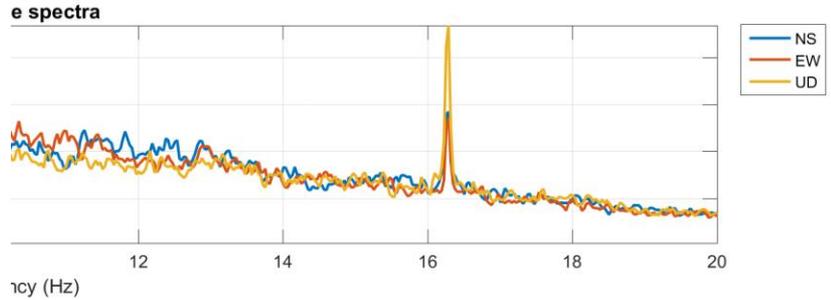
Data di acquisizione delle immagini: 10/2015 45°18'02.74"N 9°29'29.37"E elev. 119 m alt. 78 m

Segnala un problema



- open working folder
- show location
- field notes

HVSR

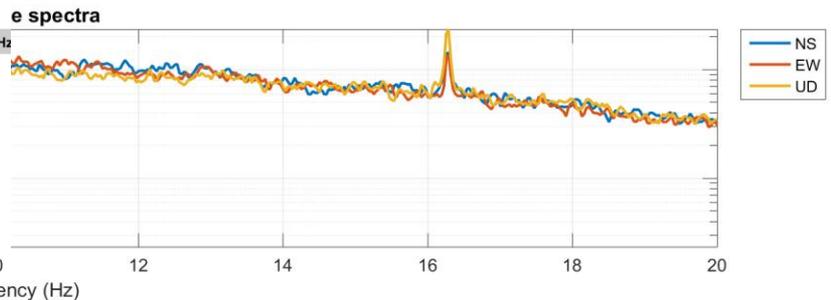


Criteria for a reliable H/V curve

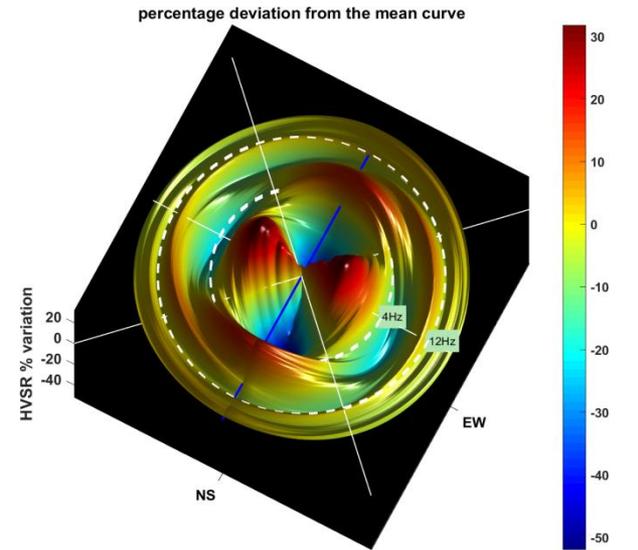
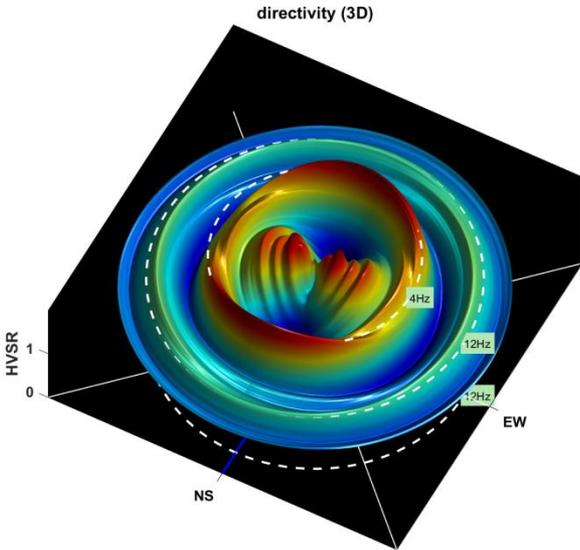
- #1: OK
- #2: OK
- #3: OK

Criteria for a clear H/V peak [3.91 Hz]

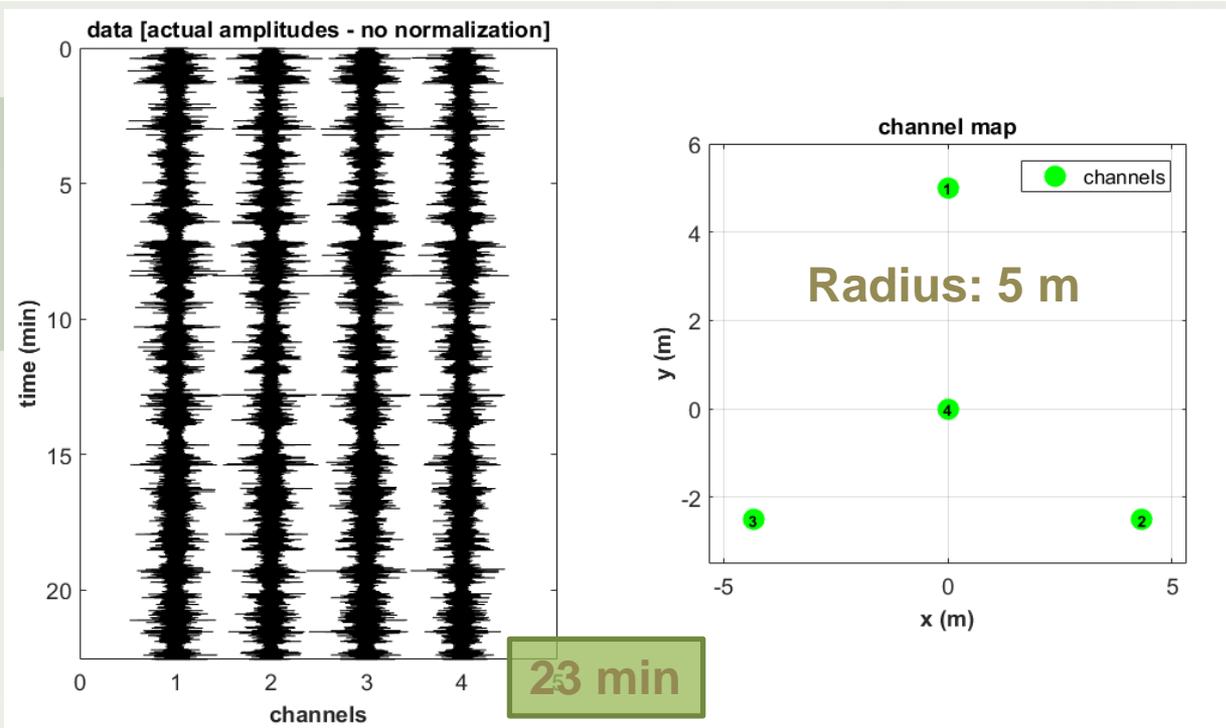
- #1: OK
- #2: OK
- #3: NG
- #4: NG
- #5: NG
- #6: OK



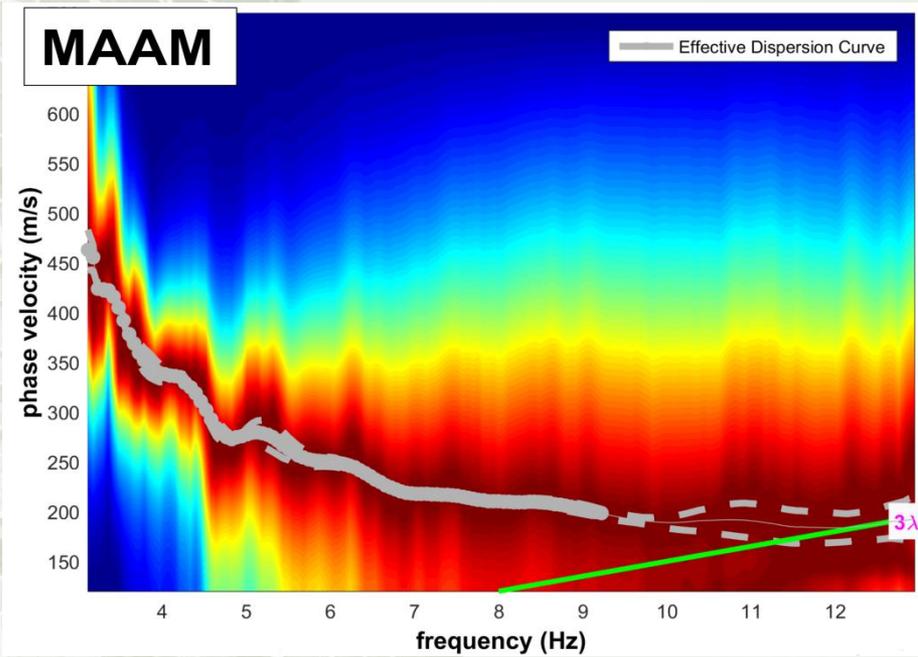
The 4Hz “peak” is azimuthally quite homogeneous, while the lower frequencies...



MAAM



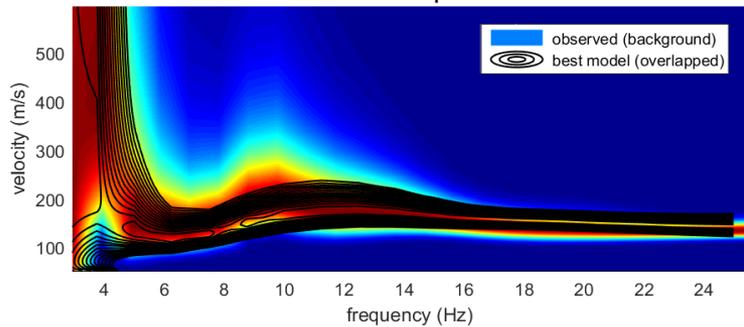
Please, notice the consistency of the trace amplitudes



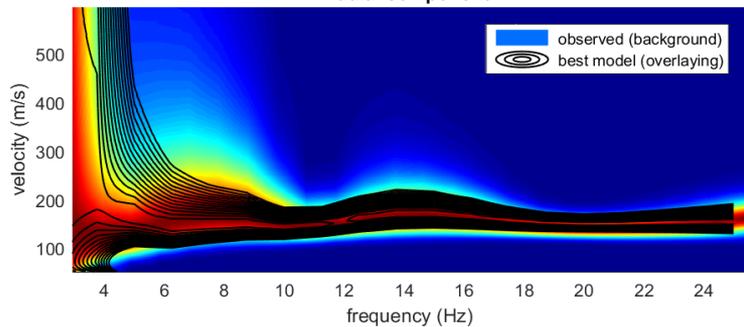
Rayleigh-wave (vertical component) effective dispersion curve

Joint Analysis

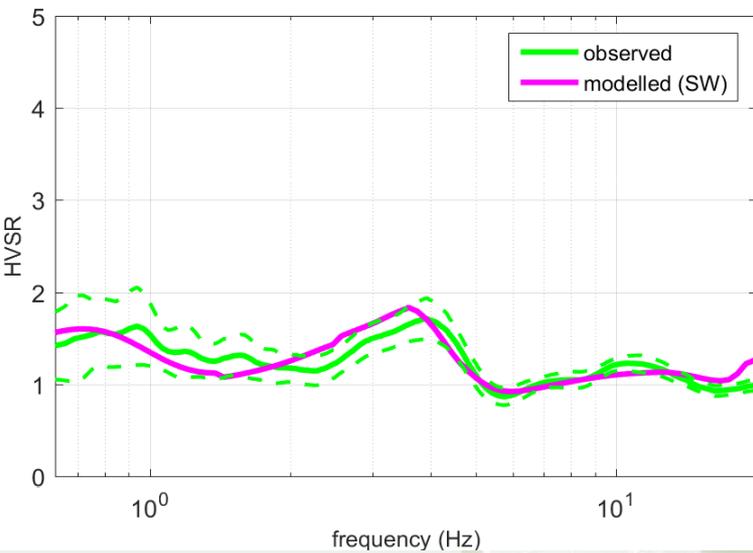
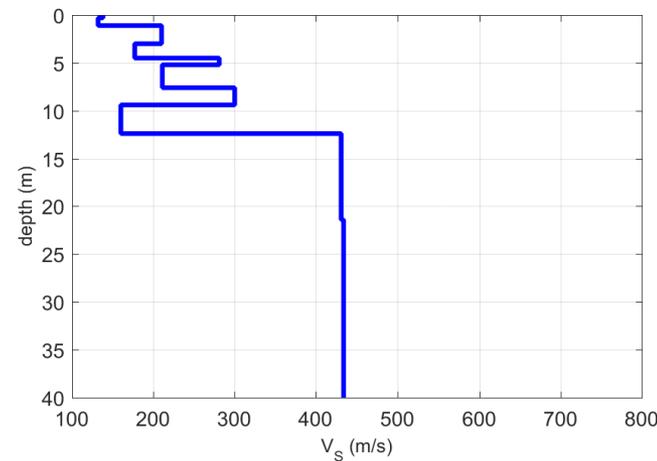
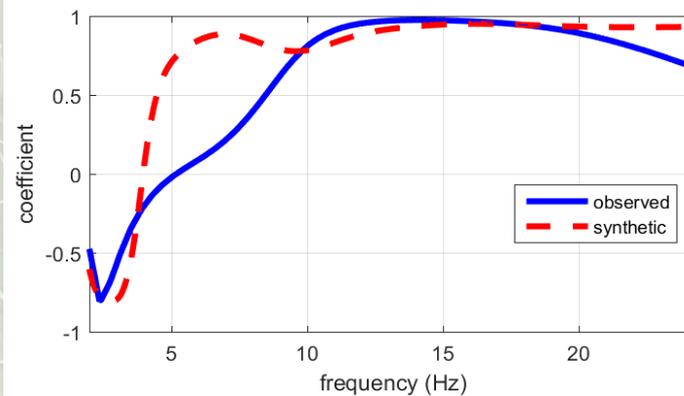
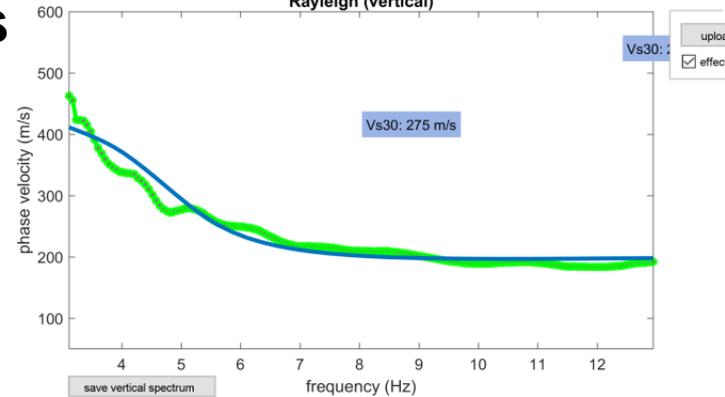
vertical component



radial component



Rayleigh (vertical)

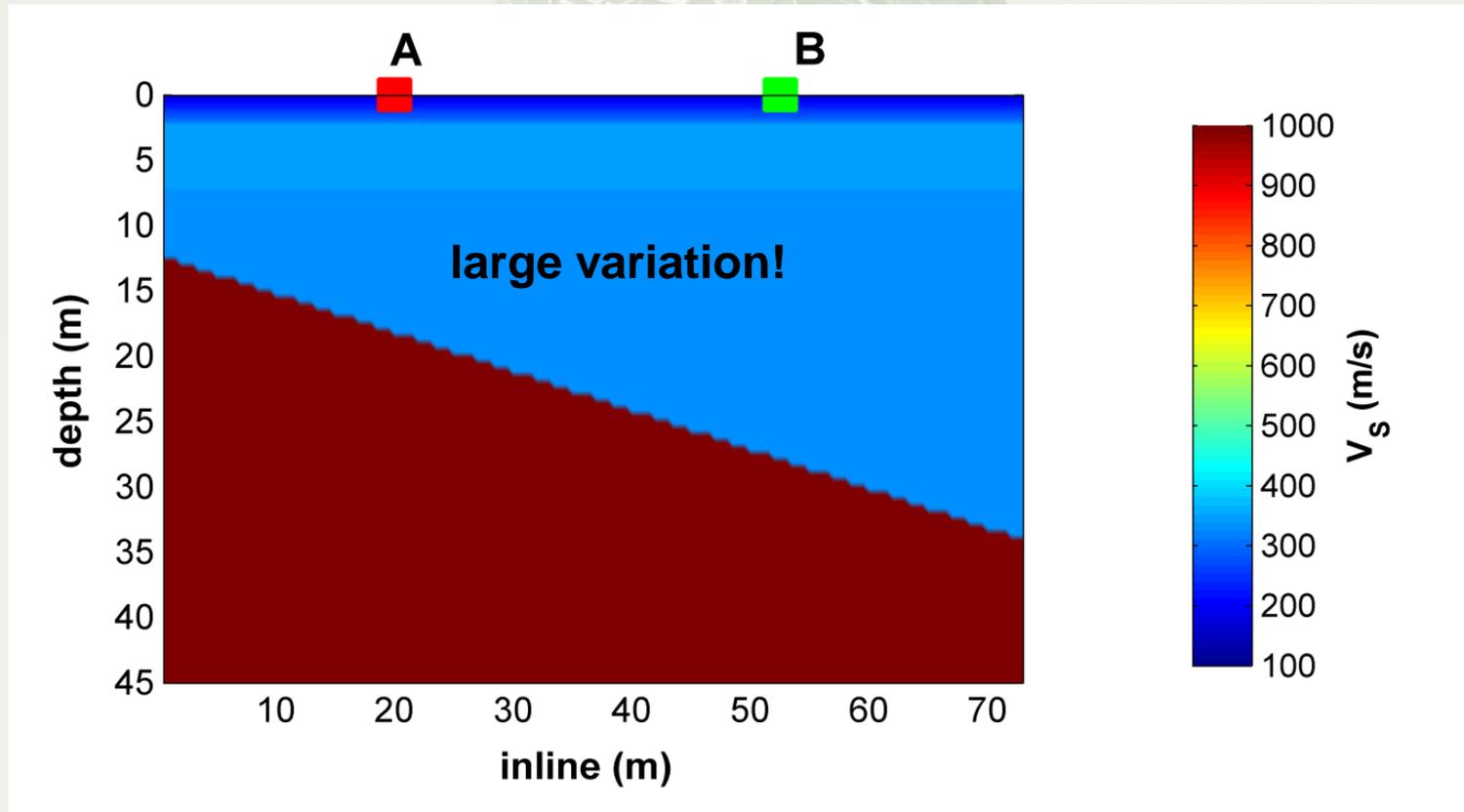


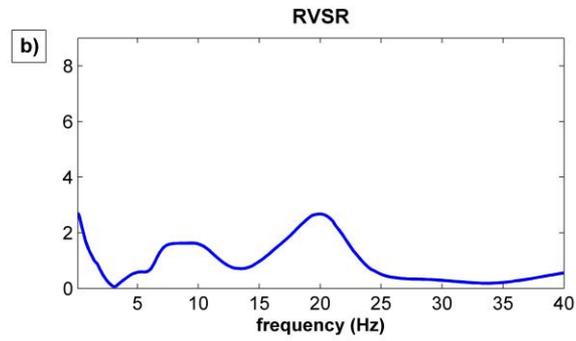
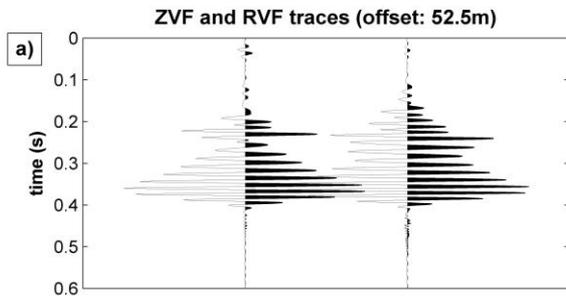
Some conclusive points [1/3]

- A careful use of a limited number of geophones (three vertical + one 3-component) and appropriate acquisition procedures (that require a limited field effort) can provide data suitable for the characterization of urban or remote/complex regions.
- The analysis performed according to the considered techniques (HS, MAAM and HVSR) impose a clear and deep understanding of a number of issues related to the malicious role of possible noise components
- In some cases, in order to avoid exceedingly pervasive noise related to the human and industrial activities, data acquisition could be accomplished during night time and/or in the weekends.
- HS (as MASW, ESAC etc) relates to the average conditions between the *Source* and the *Receivers*
- RVSr or RPM frequency curve?
RVSr: extremely (too?) sensitive;
RPM: less sensitive but more stable

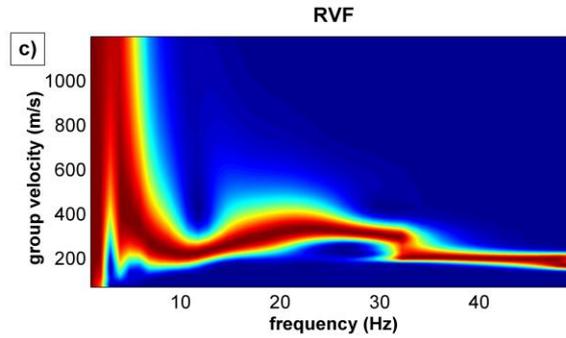
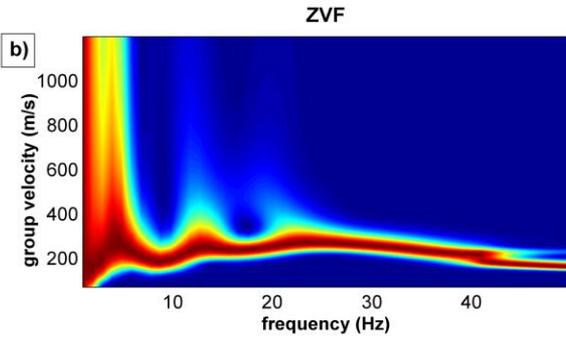
Some conclusive points [2/3]

- Verifying lateral variations via HS by swapping the Source & Receiver positions

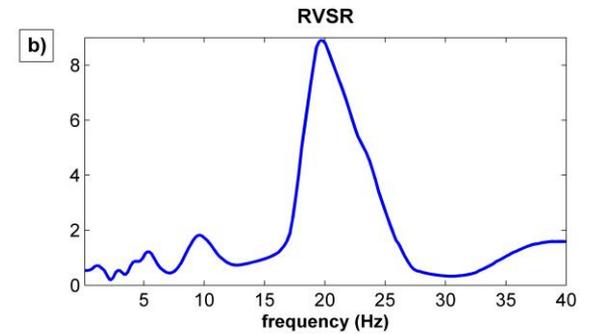
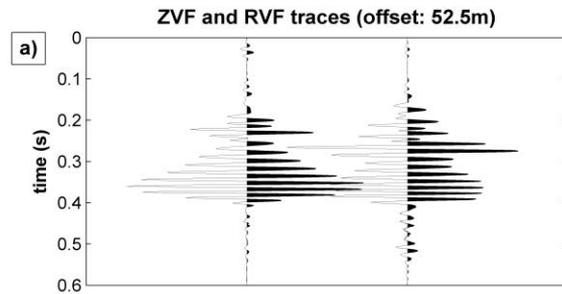




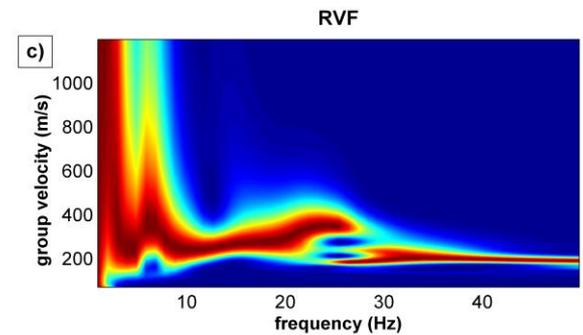
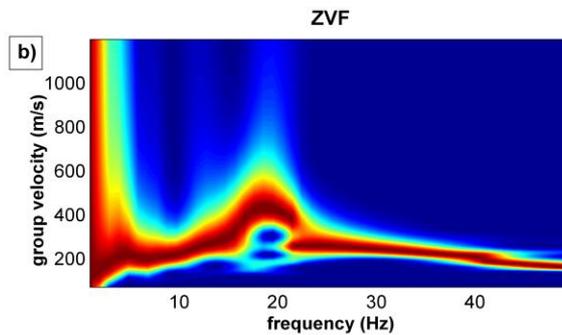
large lateral variations



direct

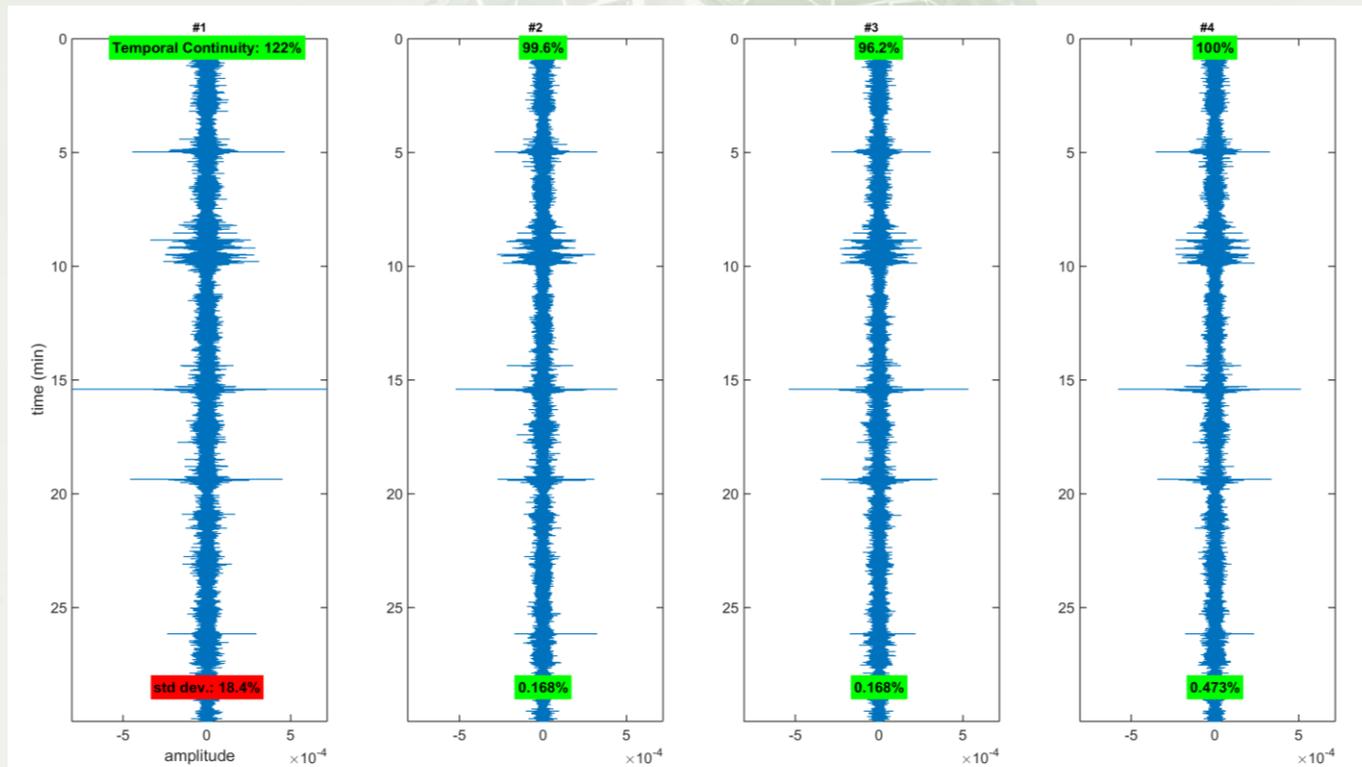


reverse



Some conclusive points [3/3]

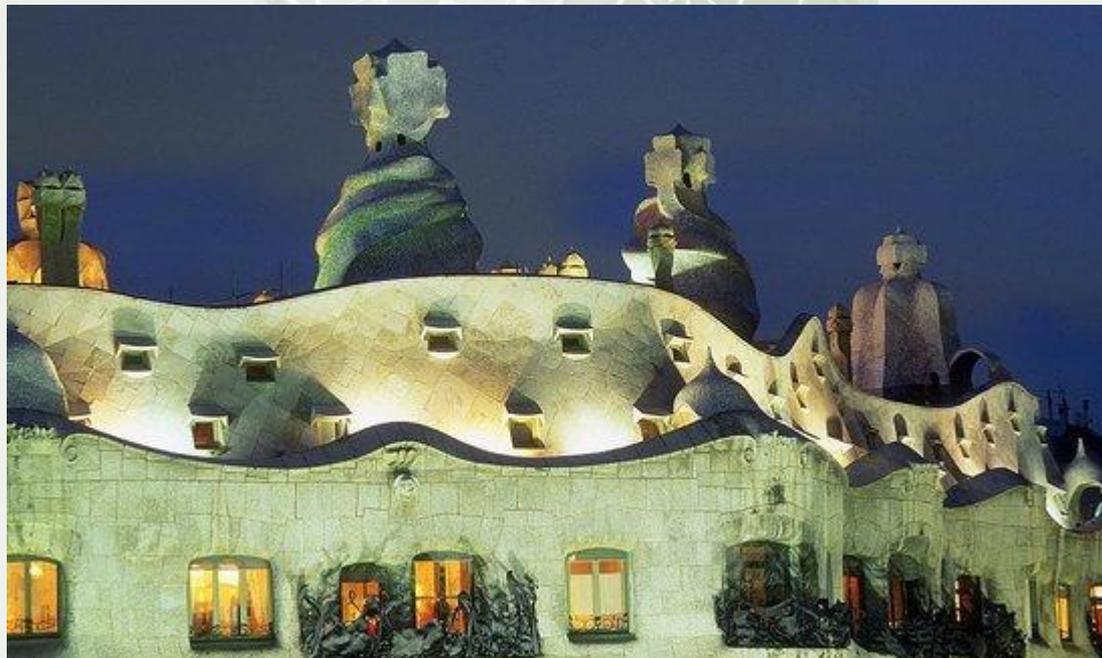
- MAAM and HVSR relate to very local conditions (HS, MASW, ESAC etc to larger areas)
- MAAM acquisitions require a very careful acquisition (Quality Check on the field) and high-quality (specifically designed) equipment



THANKS

22nd European Meeting of Environmental and Engineering
Geophysics Near Surface Geoscience 2016
4 - 8 September 2016 - Barcelona, Spain

Urban Geophysics workshop
Sunday 4 September 2016, 09:00 - 17:00



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